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# *L*-functions of $S_3(\Gamma_2(2, 4, 8))$

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#### ABSTRACT

van Geemen and van Straten [B. van Geemen, D. van Straten, The cuspform of weight 3 on  $\Gamma_2(2, 4, 8)$ , Math. Comp. 61 (1993) 849–872] showed that the space of Siegel modular cusp forms of degree 2 of weight 3 with respect to the so-called Igusa group  $\Gamma_2(2, 4, 8)$  is generated by 6-tuple products of Igusa theta constants, and each of them are Hecke eigenforms. They conjectured that some of these products generate Saito–Kurokawa representations, weak endoscopic lifts, or D-critical representations. In this paper, we prove these conjectures. Additionally, we obtain holomorphic Hermitian modular eigenforms of GU(2, 2) of weight 4 from these representations.

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#### 1. Introduction

Hermitian modular form

Let  $\mathfrak{H}_2 = \{Z = {}^tZ \in M_2(\mathbb{C}) \mid \mathfrak{I}(Z) > 0\}$  be the Siegel upper half space of degree 2. Let

$$\theta_m(Z) = \sum_{x \in \mathbb{Z}^2} \exp\left(2\pi i \left(\frac{1}{2} \left(x + \frac{m'}{2}\right) Z^t \left(x + \frac{m'}{2}\right) + \left(x + \frac{m'}{2}\right)^t \left(\frac{m''}{2}\right)\right)\right)$$

be the Igusa theta constant with  $m = (m', m'') \in \mathbb{Q}^2 \times \mathbb{Q}^2$ . For a congruence subgroup  $\Gamma$  of Sp<sub>4</sub>( $\mathbb{Z}$ ) ( $\subset$  SL<sub>4</sub>( $\mathbb{Z}$ )), let  $S_{\Gamma}$  denote the Siegel modular 3-fold and  $S_3(\Gamma)$  denote the space of Siegel modular cusp forms of weight 3 with respect to  $\Gamma$ . van Geemen and van Straten showed that  $S_3(\Gamma_2(2, 4, 8))$ 

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is spanned by certain 6-tuple products  $\prod_{j=1}^{6} \theta_{m_j}(n_j Z)$  with  $m_j \in \{0, 1\}^4, n_j \in \{1, 2\}$  using the theta embedding of  $S_{\Gamma(2,4,8)}$  into  $\mathbb{P}^{13}$  (cf. [6]), where  $\Gamma_2(2,4,8) = \Gamma(2,4,8)$  is defined by

$$\left\{I_4 + 4\begin{bmatrix} A & B\\ C & D\end{bmatrix} \in \operatorname{Sp}_4(\mathbb{Z}) \mid A, B, C, D \in \operatorname{M}_2(\mathbb{Z}), \operatorname{diag}(B) \equiv \operatorname{diag}(C) \equiv 0, \operatorname{tr}(A) \equiv 0 \pmod{2}\right\}.$$
(1.1)

Through Igusa's transformation formula,  $\text{Sp}_4(\mathbb{Z})$  acts on these 6-tuple products. They showed that  $S_3(\Gamma(2, 4, 8))$  is decomposed into eleven irreducible  $\text{Sp}_4(\mathbb{Z})$ -modules, and each module is generated by acting  $\text{Sp}_4(\mathbb{Z})$  a 6-tuple product of Igusa theta constants. Further, they showed that these 6-tuple products is associated to irreducible cuspidal automorphic representations of  $\text{PGSp}_4(\mathbb{A})$  (cf. Proposition 2.2). Computing some eigenvalues of Evdokimov's Hecke operators on

$$\begin{split} g_1(Z) &:= \theta_{(0,0,0,0)}(2Z)\theta_{(1,0,0,0)}(Z)\theta_{(0,1,0,0)}(Z)\theta_{(0,0,1,0)}(Z)\theta_{(0,0,1,0)}(Z)\theta_{(0,0,0,1)}(Z), \\ g_4(Z) &:= \theta_{(0,0,0,0)}(2Z)\theta_{(1,0,0,0)}(2Z)\theta_{(0,1,0,0)}(2Z)\theta_{(0,0,1,0)}(Z)\theta_{(0,0,0,1)}(Z)\theta_{(0,0,1,1)}(Z), \end{split}$$

they gave:

**Conjecture.** (See van Geemen and van Straten [7].) Let  $\Pi_{g_i}$  be the irreducible cuspidal automorphic representation of  $PGSp_4(\mathbb{A})$  associated to  $g_i$ . Then the spinor L-functions (of degree 4) are

$$L(s, \Pi_{g_1}; \operatorname{spin}) = L(s, \lambda), \qquad L(s, \Pi_{g_4}; \operatorname{spin}) = L\left(s - \frac{1}{2}, \left(\frac{-2}{*}\right)\right) L\left(s + \frac{1}{2}, \left(\frac{-2}{*}\right)\right) L(s, \rho_1),$$

up to the Euler factors at 2. Here  $\lambda$  is a größencharacter of the bi-quadratic CM-field  $\mathbb{Q}(i, \sqrt{2})$  of conductor 2,  $\rho_1$  is an irreducible cuspidal automorphic representation of  $PGL_2(\mathbb{A})$  of lowest weight 4 of level 8, and  $(\frac{*}{*})$  is the Legendre symbol.

In this paper, we prove

Theorem A. The conjecture is true.

More precisely, their conjecture referred to Andrianov–Evdokimov's *L*-functions  $L(s, g_i; AE)$ . However,  $L(s, g_i; AE)$  is essentially equal to the (partial) spinor *L*-functions of  $\Pi_{g_i}$  (cf. Proposition 2.1). Anyway, Theorem A means that  $\Pi_{g_1}$  is a D-critical representation in the sense of Weissauer [31], and  $\Pi_{g_4}$  is the  $(\frac{-2}{*})$ -twist of a Saito–Kurokawa representation associated to  $\rho_1 \otimes (\frac{-2}{*})$ . Let  $Gr_3^W H^3(S_{\Gamma_{g_i}}, \mathbb{C})$ be the graded quotient of degree 3 of a mixed Hodge structure on  $H^3(S_{\Gamma_{g_i}}, \mathbb{C})$ . Theorem A also means that  $g_i$  corresponds to a generator of the 1-dimensional space  $H^{3,0}(Gr_3^W H^3(S_{\Gamma_{g_i}}, \mathbb{C}))$  associated to a quotient  $S_{\Gamma_{g_i}}$  of  $S_{\Gamma(2,4,8)}$  (cf. Proposition 2.3). We are interested in the quotients  $S_{\Gamma_{f_i}}, S_{\Gamma_{g_i}}$  of  $S_{\Gamma(2,4,8)}$ , for various reasons. Let  $S'_{\Gamma_{f_5}}$  be a resolution of the Satake compactification of  $S_{\Gamma_{f_5}}$ . van Geemen and Nygaard [6] calculated the Hodge numbers  $h^{3,0}$  and  $h^{2,1}$  of  $S'_{\Gamma_{f_5}}$  are both equal to one and showed that the *L*-function of the third etale cohomology of  $S'_{\Gamma_{f_5}}$  is equal to  $L(s - \frac{3}{2}, \mu)L(s - \frac{3}{2}, \mu^3)$ , up to the Euler factors at 2, where  $\mu$  is the unitary größencharacter related to the CM-elliptic curve  $E/\mathbb{Q}: y^2 = x^3 - x$ . Because  $f_5$  corresponds to the generator of  $H^{3,0}(Gr_3^W H^3(S_{\Gamma_{f_5}}, \mathbb{C}))$ , it was conjectured in [7,6] and verified in [17] that  $L(s, \Pi_{f_5}; spin) = L(s, \mu)L(s, \mu^3)$ , up to the Euler factors at 2. Thus,  $\Pi_{f_5}$  is a weak endoscopic lift of  $(\pi(\mu), \pi(\mu^3))$  in the sense of [31] and we have

$$L(s, H^3_{\mathrm{et}}(S'_{\Gamma_{f_5}}, \mathbb{Q}_2)) = L\left(s - \frac{3}{2}, \Pi_{f_5}; \mathrm{spin}\right),$$

up to the Euler factors at 2, where  $\pi(\mu)$  indicates the irreducible cuspidal automorphic representation of PGL<sub>2</sub>(A) associated to  $\mu$ . From the above Hodge numbers and these *L*-functions, it is natural to guess that a weak endoscopic lift of  $(\pi(\mu), \pi(\mu^3))$  contributes to  $H^{2,1}(\text{Gr}_3^W H^3(S_{\Gamma_{f_3}}, \mathbb{C}))$ . In Section 3.3, we will give the desired weak endoscopic lift.

We have verified in [17] their conjectures on  $L(s, \Pi_{f_i}; \text{spin})$  for  $1 \le i \le 6$ , and we will verify in another work in preparation their conjectures for  $\Pi_{f_7}$  and  $\Pi_{g_3}$ . Here  $f_i, g_j$  with  $1 \le i \le 7, 1 \le j \le 4$  are certain 6-tuple products of Igusa theta constants. Combining all these works, we will complete the proof for the conjectures given in [7].

By the way, our result means that there are irreducible automorphic representations of GSO(6) related to these representations of GSp(4) with the  $\theta$ -correspondence. We find holomorphic Hermitian modular forms of GU(2,2) of weight 4 from the Siegel modular forms of weight 3 by the following theorem.

**Theorem B.** Let  $K = \mathbb{Q}(\sqrt{-d})$  be an imaginary quadratic field. Let  $B_{/\mathbb{Q}}$  be a definite quaternion algebra such that  $B \otimes K \simeq M_2(K)$ . Put  $V = K + B_{/\mathbb{Q}}$ . Suppose that a Siegel modular eigen-cusp form F of degree 2 of weight 3 is given by a  $\theta$ -lift from PGSO<sub>V</sub>. Then, there is a holomorphic Hermitian modular form  $\tilde{F}$  of PGU<sub>2,2</sub>(K) of weight 4 with

$$L(s, \tilde{F}; \wedge_t^2) = \zeta(s)L\left(s, F, \left(\frac{-d}{*}\right); r_5\right), \tag{1.2}$$

outside of finitely many bad places. If *F* satisfies the generalized Ramanujan conjecture at almost all good places, then  $\tilde{F}$  is a cusp form. Here  $L(s, F, (\frac{-d}{*}); r_5)$  is the  $(\frac{-d}{*})$ -twist of the *L*-function of degree five, and  $L(s, \tilde{F}; \wedge_t^2)$  is the *L*-function of  $\tilde{F}$  with respect to the twisted exterior square map from the *L*-group  ${}^L$ GU<sub>2,2</sub>( $\mathbb{C}$ ) to GL<sub>6</sub>( $\mathbb{C}$ ) introduced by Kim and Krishnamurthy [11].

Notice that a holomorphic Hermitian cusp form of GU(2,2) of weight 4 is canonically identified with a holomorphic differential 4-form on a modular 4-fold. A globally generic weak endoscopic lift of  $PGSp_4(\mathbb{A})$  is sent to a noncuspidal representation of  $PGL_4(\mathbb{A})$  through the generic transfer lift to  $GL_4(\mathbb{A})$  (cf. [2]). However, a holomorphic weak endoscopic lift as in Theorem B is sent to a cuspidal automorphic holomorphic representation.

The paper is organized as follows. After reviewing a result of van Geemen and van Straten [7], and summarizing our main tools  $\theta$ -lifts, and Whittaker functions in Section 1, we prove Theorem A in Section 2. We prove Theorem B in Section 3.

**Notation.** For a reductive algebraic group *G* defined over a number field *F*, let  $\mathcal{A}(G(\mathbb{A}))$  denote the space of automorphic forms on  $G(\mathbb{A})$ . At a place *v* of *F*, let  $Irr(G(F_v))$  denote the set of equivalence classes of irreducible admissible representations of  $G(F_v)$ . If  $\sigma$  is an element of  $Irr(G(F_v))$  or irreducible automorphic representation, then  $\omega_{\sigma}$  denotes the central character of  $\sigma$ . For an irreducible automorphic representation  $\pi = \bigotimes_v \pi_v$  of  $G(\mathbb{A})$ , let  $S_{\pi}$  denote the finite set of places for which  $\pi_v$  is ramified, and let  $L_S(s, \pi; r) = \prod_{v \notin S} L(s, \pi_v; r)$  the partial Langlands *L*-function outside of  $S(\supset S_{\pi})$  with respect to a finite dimensional representation *r* of the *L*-group of  $G(k_v)$ . For a commutative ring *R*, we denote

$$\operatorname{GSp}_{2n}(R) = \left\{ g \in \operatorname{GL}_{2n}(R) \mid {}^{t}g\eta_{n}g = \nu(g)\eta_{n} \right\}$$

where  $\eta_n = \begin{bmatrix} -l_n \\ l_n \end{bmatrix}$  and  $\nu(g) \in R^{\times}$  is the similitude norm of g. We will denote by  $Z(R)(\simeq R^{\times})$  the center of  $\operatorname{GSp}_{2n}(R)$ . For a quasi-character  $\chi$  and a representation  $\tau$  of  $\operatorname{GSp}_{2n}(R)$ , let  $\chi \tau$  denote the representation sending g to  $\chi(\nu(g))\tau(g)$ .

#### 2. Preliminaries

#### 2.1. Review of van Geemen and van Straten's result

van Geemen and van Straten computed some local factors of Evdokimov's *L*-functions of the 6tuple products  $f_i$ ,  $g_j$  of Igusa theta constants. To begin with, we will compare Evdokimov's *L*-function of a Siegel modular cusp form of degree 2 with the spinor *L*-function of a unitary irreducible cuspidal automorphic representation of  $GSp_4(\mathbb{A})$ . We will relate Siegel modular forms to automorphic forms, in order to regard Evdokimov's Hecke operator for Siegel modular forms as an operator for automorphic forms. For  $Z \in \mathfrak{H}_2$  and  $g = \begin{bmatrix} A & B \\ C & D \end{bmatrix} \in Sp_4(\mathbb{R})$ , let  $j(g, Z) = \det(CZ + D)$  and  $g \cdot Z = (AZ + B)(CZ + D)^{-1}$ . For a function f on  $\mathfrak{H}_2$ , an element  $g \in Sp_4(\mathbb{R})$ , and a positive integer  $\kappa$ , we define

$$f|_{\kappa}g(Z) = j(g, Z)^{-\kappa}f(g \cdot Z).$$

Let  $\mathbb{K}_{\infty} = \{g \in Sp_4(\mathbb{R}) \mid g \cdot i_2 = i_2\} \simeq U_2(\mathbb{C})$  where  $i_2 = iI_2$ . For a congruence subgroup  $\Gamma \subset Sp_4(\mathbb{Z})$ , let

$$\Gamma_{\mathbb{A}} = \mathbb{K}_{\infty} \otimes_{p < \infty} \Gamma_p, \qquad \Gamma_{\mathbb{A},0} = \bigotimes_{p < \infty} \Gamma_p,$$

where  $\Gamma_p$  is the *p*-adic completion of  $\Gamma$ . For a Siegel modular form *f* of degree 2 of weight  $\kappa$  with respect to a congruence subgroup  $\Gamma \subset \operatorname{Sp}_4(\mathbb{Z})$ , we put  $f^{\sharp}(g) = f(g \cdot i_2) j(g, i_2)^{-\kappa}$  with  $g \in \operatorname{Sp}_4(\mathbb{R})$ . Through the isomorphism:  $\Gamma \setminus \mathfrak{H}_2 \simeq \Gamma \setminus \operatorname{Sp}_4(\mathbb{R}) / \mathbb{K}_{\infty} \simeq \operatorname{Sp}_4(\mathbb{Q}) \setminus \operatorname{Sp}_4(\mathbb{A}) / \Gamma_{\mathbb{A}}$ , we extend  $f^{\sharp}$  to an automorphic form on  $\operatorname{Sp}_4(\mathbb{A})$ , which is also denoted by  $f^{\sharp}$ . Let  $\widetilde{\Gamma}_p$  be the compact subgroup of  $\operatorname{GSp}_4(\mathbb{Z}_p)$  generated by elements of  $\Gamma_p$  and  $\begin{bmatrix} I^2 \\ zI_2 \end{bmatrix}$  with  $z \in \mathbb{Z}_p^{\times}$ . Let  $\widetilde{\Gamma}_{\mathbb{A}} = (Z(\mathbb{R})\mathbb{K}_{\infty}) \otimes_{p<\infty} \widetilde{\Gamma}_p$ . Because  $\operatorname{Sp}_4(\mathbb{Q}) \setminus \operatorname{Sp}_4(\mathbb{A}) / \widetilde{\Gamma}_{\mathbb{A}}$ , we can write an element  $g \in \operatorname{GSp}_4(\mathbb{A})$  as  $\gamma tg_1 \begin{bmatrix} I^2 \\ zI_2 \end{bmatrix}$  with  $g_1 \in \operatorname{Sp}_4(\mathbb{A})$ ,  $\gamma \in \operatorname{GSp}_4(\mathbb{Q})$ ,  $t \in Z(\mathbb{R})$ ,  $z \in \bigotimes_p \mathbb{Z}_p^{\times}$ . We put

$$\tilde{f}(g) = f^{\sharp}(g_1). \tag{2.1}$$

Then,  $\tilde{f}$  is an automorphic form on  $GSp_4(\mathbb{A})$ . Let  $\chi_{\Gamma}$  be a congruence character of  $\Gamma/\Gamma(N)$ . Let

$$S_{\kappa}(\chi_{\Gamma}) = \left\{ f \in S_{\kappa}(\Gamma(N)) \mid f|_{\kappa} \gamma = \chi_{\Gamma}(\gamma) f(\gamma \in \Gamma) \right\}.$$

We identify  $\chi_{\Gamma}$  with a character  $\chi_{\Gamma} = \mathbf{1}_{\infty} \otimes_{p} \chi_{\Gamma_{p}}$  on  $\Gamma_{\mathbb{A}}$ . For an integer N, let  $\Gamma^{\sharp}(N)_{p}$  be the subgroup generated by elements of  $\Gamma(N)_{p}$  and  $\begin{bmatrix} zI_{2} \\ z^{-1}I_{2} \end{bmatrix}$  with  $z \in \mathbb{Z}_{p}^{\times}$ . Define  $\Gamma^{\sharp}(N) = \operatorname{Sp}_{4}(\mathbb{Q}) \cap \bigotimes_{p} \Gamma^{\sharp}(N)_{p}$ . For a character  $\chi_{\Gamma^{\sharp}(N)}$  on  $\Gamma^{\sharp}(N)/\Gamma(N)$ , we define  $\tilde{\chi}_{\Gamma(N)_{p}}(u) = \chi_{\Gamma(N)_{p}}(u \begin{bmatrix} 1 \\ \nu(u)^{-1}I_{2} \end{bmatrix})$  and  $\chi_{\tilde{\Gamma}(N)} = \mathbf{1}_{\infty} \otimes_{p} \tilde{\chi}_{\Gamma(N)_{p}}$ . Let

$$\mathcal{A}_{\kappa}(\chi_{\tilde{\Gamma}(N)}) = \left\{ f \in \mathcal{A}(\mathsf{GSp}_4(\mathbb{A})) \mid \varrho(u)f = j(u_{\infty}, i_2)^{-\kappa} \otimes_p \tilde{\chi}_{\Gamma_p}(u_p)f \text{ for } u \in \tilde{\Gamma}(N)_{\mathbb{A}} \right\}.$$
(2.2)

Note that the central character of each  $f \in \mathcal{A}_{\kappa}(\chi_{\tilde{\Gamma}(N)})$  is unitary. If  $f \in S_{\kappa}(\chi_{\Gamma^{\sharp}(N)})$ , then  $\tilde{f} \in \mathcal{A}_{\kappa}(\chi_{\tilde{\Gamma}(N)})$ . Now, we can regard Evdokimov's Hecke operators (cf. (2.13) of [5]) for Siegel modular forms as the following operator  $T'_{p^n}$  for  $\mathcal{A}_{\kappa}(\chi_{\tilde{\Gamma}(N)})$  with  $p \nmid N$ :

$$T'_{p^n}\tilde{f}(g) = p^{n(\kappa-3)}\sum_j \tilde{f}\left(i_{\infty}(h_j)g\right) = p^{n(\kappa-3)}\sum_j \tilde{f}\left(gi_{\infty}(h_j)h_j^{-1}\right)$$

where  $g \in \text{Sp}_4(\mathbb{R})$ ,  $i_{\nu}$  denotes the embedding  $\text{GSp}_4(\mathbb{Q})$  to  $\text{GSp}_4(\mathbb{Q}_{\nu})$ , and  $h_j \in \text{GSp}_4(\mathbb{Q}) \cap M_4(\mathbb{Z})$  is taken so that

$$h_j \equiv \begin{bmatrix} I_2 & \\ & p^n I_2 \end{bmatrix} \pmod{N}, \qquad \Gamma(N) \begin{bmatrix} I_2 & \\ & p^n I_2 \end{bmatrix} \Gamma(N) = \bigsqcup_j \Gamma(N) h_j. \tag{2.3}$$

Suppose that  $f \in S_{\kappa}(\chi_{\Gamma^{\sharp}(N)})$  is a common eigenform and that  $\tilde{f}$  lies in a (unitary) irreducible cuspidal automorphic representation  $\pi$ . Let  $\lambda'_{p^n}$  denote the eigenvalue of  $T'_{p^n}$  on f. The *p*-factor of Evdokimov's *L*-function of f is

$$\left(1 - \lambda'_p p^{-s} + \left(\lambda'_p^2 - \lambda'_{p^2} - \omega_{\pi_p}(p)^{-1} p^{2\kappa-4}\right) p^{-2s} - \omega_{\pi_p}(p)^{-1} \lambda'_p p^{2\kappa-3-3s} + \omega_{\pi_p}(p)^{-2} p^{4\kappa-6-4s} \right)^{-1}.$$

$$(2.4)$$

Let  $\lambda_{p^n}$  be the eigenvalue of the Hecke operator

$$T_{p^n}\tilde{f}(g) = \sum_j \tilde{f}\left(gi_p(h_j)\right) = \sum_j \omega_{\pi_p}\left(p^n\right)\tilde{f}\left(gi_p(h_j)^{-1}\right).$$
(2.5)

The spinor *L*-function of unramified  $\pi_p$  is

$$\left(1-p^{-3/2}\lambda_p p^{-s}+p^{-3}\left(\lambda_p^2-\lambda_{p^2}-p^2\omega_{\pi_p}(p)\right)p^{-2s}-p^{-3/2}\omega_{\pi_p}(p)\lambda_p p^{-3s}+\omega_{\pi_p}(p)^2 p^{-4s}\right)^{-1}.$$

In order to compare these *L*-functions, we recall generalized Whittaker function. Let *F* be a Siegel modular cusp form, and  $\tilde{F}$  be the automorphic form on  $GSp_4(\mathbb{A})$  related to *F* as above. Let  $\mathfrak{S}_2(\mathbb{Q}) = \{T = {}^tT \in M_2(\mathbb{Q})\}$ . For a  $T \in \mathfrak{S}_2(\mathbb{Q})$ , the Fourier coefficient  $\tilde{F}_T$  with respect to  $\psi$  of  $\tilde{F}$  is

$$\tilde{F}_T(g) = \int_{\mathfrak{S}_2(\mathbb{Q})\backslash\mathfrak{S}_2(\mathbb{A})} \psi \left( \operatorname{Trace}(Ts) \right)^{-1} \tilde{F} \left( \begin{bmatrix} I_2 & s \\ & I_2 \end{bmatrix} g \right) \mathrm{d}s,$$

and that of F is  $\tilde{F}_T(1)$ . Because F is a cusp form, some  $\tilde{F}_T(1)$  is not zero for some T with det  $T \neq 0$ . For a character  $\mu$  of SO<sub>T</sub>( $\mathbb{Q}$ )\SO<sub>T</sub>( $\mathbb{A}$ ), the generalized Whittaker function  $\tilde{F}_T^{\mu}$  is defined by

$$\tilde{F}_{T}^{\mu}(g) = \int_{\operatorname{SO}_{T}(\mathbb{Q}) \setminus \operatorname{SO}_{T}(\mathbb{A})} \mu(z)^{-1} \tilde{F}_{T}\left(\begin{bmatrix} z & \\ & t_{Z}^{-1}\end{bmatrix}g\right) dz$$

and factors as  $\bigotimes_{\nu} \tilde{F}^{\mu}_{T,\nu}$  (cf. [19]). Because  $\tilde{F}_T = \sum_{\mu} \tilde{F}^{\mu}_T$ , some  $\tilde{F}^{\mu}_T(1)$  is not zero.

**Proposition 2.1.** Suppose that a Siegel modular form  $f \in S_{\kappa}(\Gamma(N))$  of degree 2 is a common eigenfunction with respect to Evdokimov's Hecke operators. Suppose that  $\tilde{f}$  lies in a (unitary) irreducible cuspidal automorphic representation  $\pi$ . Then, for  $p \nmid N$ ,

$$L(s, f; AE)_p = L\left(s - \kappa + \frac{3}{2}, \omega_{\pi,p}^{-1}\pi_p; \operatorname{spin}\right).$$

Proof. It suffices to show that

$$\lambda'_{p^n} = p^{n(\kappa-3)} \omega_{\pi,p}(p)^{-n} \lambda_{p^n}$$
(2.6)

for n = 1, 2. To do it, we will observe the actions of the operators on  $\tilde{f}_T^{\mu} = \bigotimes_v \tilde{f}_{T,v}^{\mu}$  with  $T \in \mathfrak{S}_2(\mathbb{Z})$  such that  $\tilde{f}_T^{\mu}(1) \neq 0$ . Then  $\tilde{f}_{T,p}^{\mu}(1) \neq 0$ . Abbreviate  $\tilde{f}_{T,p}^{\mu}$  as  $B_p$ . In the case n = 1, as a complete system  $\{h_j\}$  in (2.3), we can take the following types:

$$\begin{bmatrix} 1 & * & * \\ & 1 & * & * \\ & & p \\ & & & p \end{bmatrix}, \begin{bmatrix} p & & & \\ & p & & \\ & & 1 & \\ & & & 1 \end{bmatrix}, \begin{bmatrix} 1 & * & * & * \\ & p & * & * \\ & p & & \\ & & & * & 1 \end{bmatrix}, \begin{bmatrix} p & & * & * \\ & 1 & * & * \\ & & 1 & & \\ & & & p \end{bmatrix}$$

where \* indicate elements of  $\mathbb{Z}$ . But one can show  $B_p(h_j^{-1}) = 0$ , if  $h_j$  is not of the first type. Indeed, for example, using the property

$$B_{p}\left(\begin{bmatrix}pI_{2} & & \\ & I_{2}\end{bmatrix}^{-1}\right) = B_{p}\left(\begin{bmatrix}pI_{2} & & \\ & I_{2}\end{bmatrix}^{-1}n(s)\right)$$
$$= B_{p}\left(n\left(p^{-1}s\right)\begin{bmatrix}pI_{2} & & \\ & I_{2}\end{bmatrix}^{-1}\right)$$
$$= \psi_{p}\left(\frac{\operatorname{Trace}(Ts)}{p}\right)B_{p}\left(\begin{bmatrix}pI_{2} & & \\ & I_{2}\end{bmatrix}^{-1}\right)$$

for  $s \in S_2(\mathbb{Z}_p)$ , one can show that  $B_p(\begin{bmatrix} p_{l_2} \\ l_2 \end{bmatrix}^{-1}) = 0$ . Here  $n(s) = \begin{bmatrix} l_2 & s \\ l_2 \end{bmatrix}$ , and note that  $B_p$  is right  $GSp_4(\mathbb{Z}_p)$ -invariant. Then, (2.6) is derived from (2.1). The argument for the case n = 2 is similar to that for the case n = 1 and omitted.  $\Box$ 

Next, we recall the result of Sections 6, 7 of van Geemen and van Straten [7]. Let

$$\Gamma'(2) = \left\{ \begin{bmatrix} A & B \\ 2C' & D \end{bmatrix} \in \Gamma(2) \left( \subset \operatorname{Sp}_4(\mathbb{Z}) \right) \mid \operatorname{diag}(C') \equiv 0 \pmod{2} \right\},$$
  
$$\Gamma(4, 8) = \left\{ \begin{bmatrix} A & B \\ C & D \end{bmatrix} \in \Gamma(4) \left( \subset \operatorname{Sp}_4(\mathbb{Z}) \right) \mid \operatorname{diag}(B) \equiv \operatorname{diag}(C) \equiv 0 \pmod{8} \right\}.$$

Let  $f_i, g_j$  with  $1 \le i \le 7$ ,  $1 \le j \le 4$  be the 6-tuple products of Igusa theta constants in the table on p. 864 of [7]. We will abbreviate  $f_i|_3\gamma, g_j|_3\gamma'$  for some  $\gamma, \gamma' \in \text{Sp}_4(\mathbb{Z})$  as  $f'_i, g'_j$ . Through Igusa's transformation formula, from  $F = f'_i$  (resp.  $g'_j$ ), we obtain a congruence character  $\chi_F$  of  $\Gamma(2)$  (resp.  $\Gamma'(2)$ ). In Theorem 6.4 of [7], they showed that  $S_3(\chi_F)$  is 1-dimensional and

$$S_{3}(\Gamma(4)) = \sum_{f_{1}'} S_{3}(\chi_{f_{1}'}),$$

$$S_{3}(\Gamma(4,8)) = S_{3}(\Gamma(4)) + \sum_{i=2}^{7} \sum_{f_{i}'} S_{3}(\chi_{f_{i}'}),$$

$$S_{3}(\Gamma(2,4,8)) = S_{3}(\Gamma(4,8)) + \sum_{j=1}^{4} \sum_{g_{j}'} S_{3}(\chi_{g_{j}'})$$

**Proposition 2.2.** (See van Geemen and van Straten [7].) Let  $\tilde{f}_i, \tilde{g}_j$  be the automorphic forms related to  $f_i, g_j$  as above. Then each  $\tilde{f}_i$  (resp.  $\tilde{g}_j$ ) lies in an irreducible cuspidal automorphic representation of PGSp<sub>4</sub>(A).

**Proof.** Let  $f = f_i$ . Write  $\tilde{f} = \sum_l h_l \in \sum_l \pi_l$  where  $\pi_l$ 's are irreducible cuspidal automorphic representations. From (2.1), it follows that  $\varrho(\begin{bmatrix} I_2 \\ zI_2 \end{bmatrix})\tilde{f} = \tilde{f}$  for any  $z \in \mathbb{Z}^{\times}_{\mathbb{A},0}$ . Thus,

$$\operatorname{vol}(\mathbb{Z}_{\mathbb{A},0}^{\times})^{-1} \int_{\mathbb{Z}_{\mathbb{A},0}^{\times}} \sum_{l} \varrho\left( \begin{bmatrix} I_2 & \\ & zI_2 \end{bmatrix} \right) h_l \, \mathrm{d}z = \sum_{l} h_l.$$

Hence, we can assume that

$$\varrho\left(\begin{bmatrix}I_2\\&zI_2\end{bmatrix}\right)h_l = h_l, \quad z \in \mathbb{Z}_{\mathbb{A},0}^{\times}.$$
(2.7)

With the similar argument, we can assume that

$$\varrho(u_0)h_l = \chi_f(u_0)h_l, \quad u_0 \in \Gamma(2)_{\mathbb{A},0},$$
(2.8)

$$\varrho(u_{\infty})h_{l} = \det(-Bi_{2} + A)^{-3}h_{l}, \quad u_{\infty} = \begin{bmatrix} A & B \\ -B & A \end{bmatrix} \in \mathbb{K}_{\infty}.$$
(2.9)

Using Proposition 6.2 of [7], we find that  $\chi_{f,p}([\sum_{z^{-1}l_2}^{z^{-1}l_2}]) = 1$  for any  $z \in \mathbb{Z}_p^{\times}$ . It follows that the central character of  $\tilde{f}$  is trivial. Hence  $\omega_{\pi_l}$  is also trivial. Consulting Eq. (2) of p. 505 of Oda and Schwermer [16], we find that  $\pi_{l,\infty}|_{\text{Sp}_4}$  is the holomorphic discrete series representation with Blattner parameter (3, 3). Define the function  $h_l^{\flat}$  on  $Z \in \mathfrak{H}_2$  by  $h_l^{\flat}(Z) = h_l(g_{\infty})j(g_{\infty}, i_2)^3$ , where  $g_{\infty} \in \text{Sp}_4(\mathbb{R})$  is taken so that  $g_{\infty} \cdot i_2 = Z$ . Then,  $h_l^{\flat} \in S_3(\chi_f)$ . Because  $S_3(\chi_f)$  is 1-dimensional,  $h_l^{\flat} \in \mathbb{C}f$ . One can show that  $h_l \in \mathbb{C}\tilde{f}$ , noting (2.7), (2.8), (2.9) and  $\omega_{\pi_l} = 1$ . This completes the proof for  $f_i$ . The proof for  $\tilde{g}_j$  is similar.  $\Box$ 

We will denote by  $\Pi_{f_i}$  (resp.  $\Pi_{g_j}$ ) the irreducible cuspidal automorphic representation of PGSp<sub>4</sub>(A) containing  $\tilde{f}_i$  (resp.  $\tilde{g}_i$ ).

Noting that  $\Gamma'(2)$ ,  $\Gamma(2, 4, 8)$  are normal subgroups of  $\Gamma(2)$  and  $\Gamma(2)/\Gamma'(2) \simeq (\mathbb{Z}/2\mathbb{Z})^2$ , one can extend  $\chi_{g_j}$  in 4 ways,  $\chi_{g_j,l}$  with  $1 \leq l \leq 4$ . Then  $S_3(\chi_{g_j}) = \sum_l S_3(\chi_{g_j,l})$ . However, because  $\dim_{\mathbb{C}} S_3(\chi_{g_j}) = 1$ ,  $\dim_{\mathbb{C}} S_3(\chi_{g_j,l}) = 1$  for an l and  $\dim_{\mathbb{C}} S_3(\chi_{g_j,l}) = 0$  for other l. We define the character  $\tilde{\chi}_{g_i}$  on  $\Gamma(2)$  by  $\dim_{\mathbb{C}} S_3(\tilde{\chi}_{g_j}) = 1$ .

**Proposition 2.3.** For  $\Gamma = \ker(\chi_{f_i}), \ker(\tilde{\chi}_{g_i}), H^{3,0}(\mathrm{Gr}_3^W H^3(S_{\Gamma}, \mathbb{C}))$  is 1-dimensional.

**Proof.** We give the proof for  $\Gamma = \ker(\chi_{g_j})$ . The proof for  $\Gamma = \ker(\chi_{f_i})$  is similar and omitted. To prove  $H^{3,0}(\operatorname{Gr}_3^W H^3(S_{\ker(\chi_g)}, \mathbb{C})) \ (\simeq S_3(\ker(\chi_g)))$  is 1-dimensional, it suffices to show that  $\ker(\chi_g) \not\subset \ker(\chi_{f'_i})$  for any  $f'_i$  and  $\ker(\chi_g) \not\subset \ker(\chi_{g'_i})$  for any  $g'_l \neq g$ . Using the tables in Proposition 6.2 of [7], we find that  $\chi_{f_1}$  is  $\{\pm 1\}$ -valued, and that  $\chi_{f_i}$  for  $i \neq 1$  and  $\chi_{g'_i}$  are  $\{\pm 1, \pm i\}$ -valued. Thus

$$\Gamma(2)/\ker(\chi_{f_1'}) \simeq \mathbb{Z}/2\mathbb{Z}, \qquad \Gamma'(2)/\ker(\chi_{g_1'}) \simeq \Gamma'(2)/\ker(\chi_{f_1'}|_{\Gamma'(2)}) \simeq \mathbb{Z}/4\mathbb{Z} \quad (i \neq 1).$$

Because the commutator subgroup of  $\Gamma(2)$  is  $\Gamma(4, 8)$ , and  $g \notin S_3(\Gamma(4, 8))$ , it is impossible to extend  $\chi_g$  to a character on  $\Gamma(2)$ . Hence,  $\chi_{f_i}|_{\Gamma'(2)} \neq \chi_g, \overline{\chi}_g$  and  $\ker(\chi_g) \not\subset \ker(\chi_{f'_i}|_{\Gamma'(2)})$  for  $i \neq 1$ . As described in the proof of Proposition 7.5 in [7], and  $\chi_{g'_i} \neq \chi_g, \overline{\chi}_g$ . Hence  $\ker(\chi_g) \not\subset \ker(\chi_{g'_i})$  for  $g'_i \neq g$ . Finally, assume that  $\ker(\chi_g) \subset \ker(\chi_{f'_1})$  for some  $f'_1$ . Then,  $\chi^2_g = \chi_{f_1}$ , and hence  $\ker(\chi^2_g) \supset \Gamma(4)$ . But, this conflicts to the table of Proposition 6.2(b) in [7]. Hence  $\ker(\chi_g) \not\subset \ker(\chi_{f'_1})$ . This completes the proof.  $\Box$ 

#### 2.2. $\theta$ -lifts

In this section, we summarize the  $\theta$ -correspondence for GSO(4) and GSp(4). Let  $X_{/\mathbb{Q}}$  be a 2*m*-dimensional space defined over  $\mathbb{Q}$  with a nondegenerate quadratic form (,). For  $x = (x_i)$ ,  $y = (y_i) \in X^n$ , we denote  $((x_i, y_j))$  also by (x, y). Let  $d_X$  be the discriminant of X. Let  $\chi_X(*) = \{*, (-1)^m d_X\}_v$  where  $\{*, *\}_v$  denotes the Hilbert symbol. We fix the standard additive character  $\psi$  on  $\mathbb{Q}\setminus\mathbb{A}$ . Let  $S(X(\mathbb{Q}_v)^n)$  be the space of Schwartz–Bruhat functions of  $X(\mathbb{Q}_v)^n$ . The Weil representation  $r_v^n$  of  $\operatorname{Sp}_{2n}(\mathbb{Q}_v) \times \operatorname{O}_X(\mathbb{Q}_v)$  with respect to  $\psi_v$  is the unitary representation on  $S(X(\mathbb{Q}_v)^n)$  given by

$$r_{\nu}^{n}(1,h)\varphi_{\nu}(x) = \varphi_{\nu}(h^{-1}x), \qquad (2.10)$$

$$r_{\nu}^{n}\left(\begin{bmatrix}a & 0\\ 0 & ta^{-1}\end{bmatrix}, 1\right)\varphi_{\nu}(x) = \chi_{X}(\det a)|\det a|^{m}\varphi_{\nu}(xa),$$
(2.11)

$$r_{\nu}^{n}\left(\begin{bmatrix}I_{n} & b\\ 0 & I_{n}\end{bmatrix}, 1\right)\varphi_{\nu}(x) = \psi_{\nu}\left(\frac{\operatorname{Trace}(b(x, x))}{2}\right)\varphi_{\nu}(x),$$
(2.12)

$$r_{\nu}^{n}\left(\begin{bmatrix}0 & -I_{n}\\I_{n} & 0\end{bmatrix}, 1\right)\varphi_{\nu}(x) = \gamma \varphi_{\nu}^{\vee}(x).$$
(2.13)

The Weil constant  $\gamma$  is a fourth root of unity depending on the anisotropic kernel of X, n and  $\psi$ . The Fourier transformation  $\varphi^{\vee}$  of  $\varphi$  is defined by

$$\varphi^{\vee}(x) = \int_{X(\mathbb{Q}_{\nu})^n} \psi_{\nu} (\operatorname{Trace}(x, y)) \varphi(y) \, \mathrm{d}y$$

where dy is the self-dual Haar measure. As in [21], we extend  $r_v^n$  to the group  $\{(g,h) \in GSp_n(\mathbb{Q}_v) \times GO_X(\mathbb{Q}_v) \mid v(g) = v(h)\}$ , where v(h) denotes the similitude norm of h. Let  $r^n = \bigotimes_v r_v^n$ . For  $\varphi = \bigotimes_v \varphi_v \in S(X(\mathbb{A})^n)$ , we put

$$\theta_n(\varphi)(g,h) = \sum_{x \in X(\mathbb{Q})^n} r(g,h)\varphi(x).$$

This series converges absolutely. Let dh be a right Haar measure on  $SO_X(\mathbb{Q}) \setminus SO_X(\mathbb{A})$ . Let  $\sigma$  be an irreducible cuspidal automorphic representation of  $GSO_X(\mathbb{A})$ . Take an  $f \in \sigma$ . We define the  $\theta$ -lift of f to  $GSp_n(\mathbb{A})$  with respect to  $\varphi$  by

$$\theta_n(\varphi, f)(g) = \int_{\operatorname{SO}_X(\mathbb{Q}) \setminus \operatorname{SO}_X(\mathbb{A})} \theta_n(\varphi)(g, h) f(hh_0) \, \mathrm{d}h,$$
(2.14)

where  $h_0$  is chosen so that  $\nu(g) = \nu(h_0)$ , and the value of  $\theta_n(\varphi, f)(g)$  is independent of the choice of  $h_0$ . This integral converges absolutely and is an automorphic forms on  $\text{GSp}_{2n}(\mathbb{A})$ . We will denote by  $\Theta_n(\sigma)$  the subspace of  $\mathcal{A}(\text{GSp}_4(\mathbb{A}))$  spanned by  $\theta_n(\varphi, f)$  with  $\varphi \in \mathcal{S}(X(\mathbb{A})^n)$  and  $f \in \sigma$ . We call  $\Theta_n(\sigma)$  the global  $\theta$ -lift of  $\sigma$  to GSp(2n). In the case of m = 2, these  $\theta$ -lifts are weak endoscopic lifts or D-critical representations under some situations as follows. For our later use and the sake of simplicity, we assume the central character of  $\sigma$  is trivial.

1) In the case that  $d_X$  is a square of a rational number,  $X_{/\mathbb{Q}}$  is isometric to a quaternion algebra  $B_{/\mathbb{Q}}$  defined over  $\mathbb{Q}$ . Define  $\rho(h_1, h_2)x = h_1^{-1}xh_2$  for  $x \in B(R), h_i \in B(R)^{\times}$ , where R denote  $\mathbb{Q}, \mathbb{Q}_{\nu}$  or  $\mathbb{A}$ . Then  $\rho$  gives isomorphisms

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$$i_{\rho}: \begin{cases} \mathsf{B}(R)^{\times} \times \mathsf{B}(R)^{\times} / \Delta(R^{\times}) \simeq \mathsf{GSO}_X(R), \\ \{(h_1, h_2) \in \mathsf{B}(R)^{\times} \times \mathsf{B}(R)^{\times} \mid N_{\mathsf{B}/\mathsf{R}}(h_1) = N_{\mathsf{B}/\mathsf{R}}(h_2)\} / \Delta(R^{\times}) \simeq \mathsf{SO}_X(R), \end{cases}$$
(2.15)

where  $\Delta(\mathbb{R}^{\times})$  denotes the diagonal embedding into  $\mathbb{B}(\mathbb{R})^{\times} \times \mathbb{B}(\mathbb{R})^{\times}$ . We identify a  $\sigma_{v} \in \operatorname{Irr}(\operatorname{PGSO}_{X}(\mathbb{Q}_{v}))$  with a pair  $(\sigma_{1,v}, \sigma_{2,v})$  of  $\operatorname{Irr}(\operatorname{PB}(\mathbb{Q}_{v})^{\times})$  through  $i_{\rho}$ . Then,  $\sigma$  is identified with  $\sigma_{1} \boxtimes \sigma_{2}$  for a pair  $(\sigma_{1}, \sigma_{2})$  of irreducible automorphic representations of  $\operatorname{PGSO}_{\mathbb{B}}(\mathbb{A})$ . Then,  $\Pi = \Theta_{2}(\sigma_{1} \boxtimes \sigma_{2})$  is irreducible and factors as  $\bigotimes_{v} \theta_{2}(\sigma_{1v} \boxtimes \sigma_{2v})$ . For an irreducible cuspidal automorphic representation  $\tau$  of  $\mathbb{B}(\mathbb{A})^{\times}$ , we will let  $\tau^{JL}$  denote the Jacquet–Langlands transfer to  $\operatorname{GL}_{2}(\mathbb{A})$ . Let  $S_{\sigma}$  be the set of places v for which  $\sigma_{1,v}^{JL} \boxtimes \sigma_{2,v}^{JL}$  is ramified. Then,  $S_{\Pi} = S_{\sigma}$ , and

$$L_{S_{\sigma}}(s, \Pi; \operatorname{spin}) = L_{S_{\sigma}}(s, \sigma_1) L_{S(\sigma)}(s, \sigma_2), \qquad L_{S_{\sigma}}(s, \Pi; r_5) = \zeta_{S_{\sigma}}(s) L_{S_{\sigma}}(s, \sigma_1 \times \sigma_2),$$

where  $r_5$  indicates the 5-dimensional representation of  $GSp_4(\mathbb{C})$  as in Section 2 of [26]. If both of  $\sigma_1$  and  $\sigma_2$  are cuspidal and  $\sigma_1 \neq \sigma_2$ , then  $\Pi$  is cuspidal, and thus  $\Pi$  is a weak endoscopic lift of  $(\sigma_1^{JL}, \sigma_2^{JL})$ . If  $B_{/\mathbb{Q}}$  is a definite quaternion algebra, then  $\Pi$  is the so-called Yoshida lift of  $\sigma = (\sigma_1, \sigma_2)$ , and  $\Pi_{\infty}$  is holomorphic. Otherwise,  $\Pi$  is not holomorphic. In particular, if  $B_{/\mathbb{Q}} \simeq M_2(\mathbb{Q})$ , then  $\Pi$  is globally generic, i.e., every  $F \in \Pi$  has a nontrivial global Whittaker function. Let  $c_1, c_2 \in \mathbb{Q}^{\times}$ . A global Whittaker function of an automorphic form F on  $GSp_4(\mathbb{A})$  with respect to  $\psi_{c_1,c_2}$  is defined by

$$W_{F,\psi_{c_1,c_2}}(g) = \int_{(\mathbb{Q}\setminus\mathbb{A})^4} \psi(-c_1t + c_2s_4)F\left(\begin{bmatrix}1 & t & & \\ & 1 & & \\ & & -t & 1\end{bmatrix}\begin{bmatrix}1 & s_1 & s_2 \\ & 1 & s_2 & s_4 \\ & & 1 \\ & & & -t & 1\end{bmatrix}g\right) dt \, ds_1 \, ds_2 \, ds_4,$$
(2.16)

and factors as  $\bigotimes_{v} W_{F,\psi_{c_1,c_2,v}}$ . We call  $W_{F,\psi_{1,1}}$  the standard Whittaker function and abbreviate as  $W_{F,\psi}$ . Let  $B = M_2(\mathbb{Q})$ . Let

$$e = \begin{bmatrix} 1 \\ -1 \end{bmatrix}, \quad \alpha = \begin{bmatrix} 1 \\ -1 \end{bmatrix} \in M_2(\mathbb{Q}).$$

The pointwise stabilizer subgroup  $Z_{(e,\alpha)}(R) \subset SO_B(R)$  of  $e, \alpha$  is isomorphic to

$$\left\{ \left( \begin{bmatrix} 1 & s \\ & 1 \end{bmatrix}, \begin{bmatrix} 1 & s \\ & 1 \end{bmatrix} \right) \middle| s \in R \right\}$$

via  $i_{\rho}$ . Let  $\beta_{1,\psi} = \bigotimes_{\nu} \beta_{1,\nu}, \beta_{2,\psi} = \bigotimes_{\nu} \beta_{2,\nu}$  be the Whittaker functions of  $f_1, f_2$  with respect to  $\psi$ . Then, the *v*-component of the global standard Whittaker function of  $F = \theta_2(\varphi, f_1 \boxtimes f_2)$  on  $\text{Sp}_4(\mathbb{Q}_{\nu})$  is

$$W_{F,\psi_{\nu}}(g) = \int_{Z_{(e_{1},\alpha)}(\mathbb{Q}_{\nu})\backslash SO_{X}(\mathbb{Q}_{\nu})} r_{\nu}^{2}(g, i_{\rho}(h_{1}, h_{2}))\varphi_{\nu}(e_{1}, \alpha)\overline{\beta}_{1,\nu}(h_{1})\beta_{2,\nu}(h_{2}) dh_{1} dh_{2}.$$
(2.17)

2) In the case that  $d_X$  is not a square of a rational number,  $X_{\mathbb{Q}}$  is isometric to

$$X_{\mathrm{B},d_X} = X_{\mathrm{B}} := \left\{ b \in \mathrm{B}_{/\mathbb{Q}} \otimes \mathbb{Q}(\sqrt{d_X}) \mid b^{\iota c} = -b \right\}$$
(2.18)

for a quaternion algebra  $B_{/\mathbb{Q}}$ , where  $\iota$  denotes the main involution of B, and c is the generator of  $Gal(\mathbb{Q}(\sqrt{d_X})/\mathbb{Q})$ . Put  $L = \mathbb{Q}(\sqrt{d_X})$ . Let R be  $\mathbb{Q}, \mathbb{Q}_{\mathbb{A}}$  or  $\mathbb{Q}_{\nu}$ . But assume that  $L_{\nu} \not\simeq \mathbb{Q}_{\nu}^2$ . For  $x \in X$ ,  $t \in R^{\times}$ ,  $h \in B(RL)^{\times}$ , define  $\rho'(t, h)x = t^{-1}h^{\iota c}xh$ . Then,  $\rho'$  gives isomorphisms

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$$i_{\rho'}: \begin{cases} \{(t,b) \in R^{\times} \times B(LR)^{\times} \} / \{ (N_{LR/R}(s), s) \mid s \in LR^{\times} \} \simeq GSO_X(R), \\ \{(t,b) \mid t^2 = N_{LR/R} \circ N_{B(LR)/L}(b) \} / \{ (N_{LR/R}(s), s) \mid s \in LR^{\times} \} \simeq SO_X(R). \end{cases}$$
(2.19)

We identify a  $\sigma_{v} \in \operatorname{Irr}(\operatorname{PGSO}_{X}(\mathbb{Q}_{v}))$  with one of  $\operatorname{Irr}(\operatorname{PB}(L_{v})^{\times})$  through  $i'_{\rho}$ . If  $L_{v} \simeq \mathbb{Q}_{v}^{2}$ , then  $\operatorname{GL}_{2}(L_{w_{1}}) \times \operatorname{GL}_{2}(L_{w_{2}}) \simeq \operatorname{GL}_{2}(\mathbb{Q}_{v})^{2}$ , and  $\sigma_{v}$  is identified with a pair of elements of  $\operatorname{Irr}(\operatorname{PB}(\mathbb{Q}_{v}))$ . Let  $\sigma$  be an irreducible cuspidal automorphic representation of  $\operatorname{PB}(L_{\mathbb{A}})$ , which is identified with an irreducible representation of  $\operatorname{PGSO}_{X}(\mathbb{A})$ . Contrary to the previous case,  $\Theta_{2}(\sigma)$  is not irreducible in some cases. Anyway, every irreducible constituent  $\tau$  of  $\Theta_{2}(\sigma)$  factors as  $\bigotimes_{v} \tau_{v}$ , and

$$L_{S_{\tau}}(s,\tau; \operatorname{spin}) = L_{S_{\tau}}(s,\sigma), \qquad L_{S_{\tau}}(s,\tau;r_5) = L_{S_{\tau}}(s,\chi_L)L_{S_{\tau}}(s,\tau,\chi_L;\operatorname{Asai}),$$

where  $\chi_L$  is the quadratic character associated to the extension  $L/\mathbb{Q}$ , and the last *L*-function is the  $\chi_L$ -twist of Asai's *L*-function (see [1] for the definition). Suppose that  $d_X > 0$  and each  $\sigma_{\infty_l}^{|L|}$  is a holomorphic discrete series representation with lowest weight 2 or more. Employing the main result of Blasius [3], we find that  $\sigma^{JL}$  is tempered. Thus, in this case, every constituent of  $\Theta_2(\sigma)$  is a D-critical representation in the sense of [31]. If  $B_{/\mathbb{Q}}$  is a definite quaternion algebra, then each irreducible constituent of  $\Theta_2(\sigma)$  is holomorphic. If  $B_{/\mathbb{Q}} \simeq M_2(\mathbb{Q})$ , then an irreducible constituent of  $\Theta_2(\sigma)$  is globally generic. Let  $B_{/\mathbb{Q}} = M_2(\mathbb{Q})$ . Define  $\psi_L(z) = \bigotimes_{\nu} \psi_{\nu}(\operatorname{Trace}_{L_w/\mathbb{Q}_{\nu}}(z))$ , where *w* denotes a place of *L* lying over *v*. Let  $e, \alpha \in X_{M_2,d_L}(\mathbb{Q})$  be the same as above. Then the pointwise stabilizer subgroup  $Z_{(e,\alpha)}(\mathbb{A}) \subset SO_{X_B}(\mathbb{A})$  is isomorphic to

$$\left\{ \left(1, \begin{bmatrix} 1 & s \\ & 1 \end{bmatrix}\right) \middle| s \in \sqrt{d_X} \mathbb{A} \right\}$$
(2.20)

via  $i_{\rho'}$ . Let  $f \in \sigma$ ,  $\varphi = \bigotimes_{v} \varphi_{v} \in S(X(\mathbb{A})^{2})$ , and  $F = \theta_{2}(\varphi, f)$ . Let  $\beta_{\psi} = \bigotimes_{w} \beta_{w}$  be the global Whittaker function of f associated to  $\psi_{L}$ . If  $L_{v} = L_{w_{1}} \times L_{w_{2}} \simeq \mathbb{Q}_{v}^{2}$ , then  $W_{F,\psi_{v}}$  is similar to (2.17). If  $L_{v}/\mathbb{Q}_{v}$  does not split, then

$$W_{F,\psi_{\nu}}(g) = \int_{Z_{(e,\alpha)}(\mathbb{Q}_{\nu})\setminus SO_{X_{\mathsf{M}_{2}}(\mathbb{Q})}(\mathbb{Q}_{\nu})} r_{\nu}^{2}(g, i_{\rho'}(t, b))\varphi_{\nu}(e, \alpha)\beta_{w}(b) \,\mathrm{d}t \,\mathrm{d}b.$$
(2.21)

The next lemma is needed to prove Theorem A.

**Lemma 2.4.** Let *L* be a quadratic field. Let  $\sigma$  be an irreducible cuspidal automorphic representation of  $PGL_2(L_{\mathbb{A}})$ . If  $\sigma$  is not a base change lift of an irreducible cuspidal automorphic representation of  $GL_2(\mathbb{A})$ , then every irreducible constituent of  $\Theta_2(\sigma)$  is not a weak endoscopic lift.

**Proof.** Let  $\tau$  be a constituent of  $\Theta_2(\sigma)$ . On the authority of Shahidi [25], Asai's *L*-function of  $\sigma$  does not vanish at s = 1. Hence  $L_{S_{\tau}}(s, \tau, \chi_L; r_5)$ , the  $\chi_L$ -twist of  $L_{S_{\tau}}(s, \tau; r_5)$ , has at least a simple pole at s = 1. Assume that  $\tau$  is a weak endoscopic lift. Then,  $L_{S_{\tau}}(s, \tau, \chi_L; r_5)$  is equal to  $L_{S_{\tau}}(s, \chi_L)L_{S_{\tau}}(s, \sigma_1 \times \chi_L \sigma_2)$  for a cuspidal pair  $(\sigma_1, \sigma_2)$ , and hence,

$$\operatorname{ord}_{s=1} L_{S_{\tau}}(s, \tau, \chi_L; r_5) = \begin{cases} -1 & \text{if } \sigma_1 = \chi_L \sigma_2, \\ 0 & \text{otherwise.} \end{cases}$$

Hence the assertion.  $\Box$ 

#### 2.3. Degenerate Whittaker functions

Let *R* be a commutative ring. For  $1 \leq r \leq 2$ , let  $P_r(R) = N_r(R)M_r(R) \subset GSp_4(R)$  with

$$N_{P_r}(R) = \left\{ \begin{bmatrix} 1_r & v & {}^t w \\ & 1_{2-r} & w \\ & & 1_r \\ & & & 1_{2-r} \end{bmatrix} \begin{bmatrix} 1_r & u & & \\ & 1_{2-r} & & \\ & & & 1_r \\ & & & -{}^t u & 1_{2-r} \end{bmatrix} \middle| v = {}^t v \in M_r(R), \ u, w \in M_{r,2-r}(R) \right\},$$

$$M_{P_r}(R) = \left\{ \begin{bmatrix} z & & & \\ & a & & \\ & & \det(g)^t z^{-1} & \\ & c & & d \end{bmatrix} \middle| g = \begin{bmatrix} a & b \\ c & d \end{bmatrix} \in \operatorname{GSp}_{4-2r}(R), \ z \in \operatorname{GL}_r(R) \right\}$$
$$\simeq \operatorname{GL}_r(R) \times \operatorname{GSp}_{4-2r}(R),$$

where we understand  $GSp_0 = GL_1$ ,  $GSp_2 = GL_2$ . We write  $P_1 = Q$  (resp.  $P_2 = P$ ) and call it Klingen (resp. Siegel) parabolic subgroup. Let  $e_Q$ ,  $e_P$  denote the natural embedding of  $GL_2 \times GL_1$  into  $M_{P_r}$ . If *E* is a noncuspidal automorphic form on  $GSp_4(\mathbb{A})$ , then, for  $\bullet = P$  or Q,

$$\Phi_{\bullet}(E)(g,z) := \operatorname{vol}(N_{\bullet}(\mathbb{Q}) \setminus N_{\bullet}(\mathbb{A}))^{-1} \int_{N_{\bullet}(\mathbb{Q}) \setminus N_{\bullet}(\mathbb{A})} E(ne_{\bullet}(g,z)) \, \mathrm{d}n$$
(2.22)

is a nontrivial automorphic form on  $GL_2(\mathbb{A}) \times GL_1(\mathbb{A})$ . Let  $a \in \mathbb{Q}^{\times}$ . We define  $\psi_{(a)}(*) = \psi(a*)$ . If a function  $W^{\bullet}_{\psi_{(a)}}$  on  $GSp_4(\mathbb{A})$  (resp.  $GSp_4(\mathbb{Q}_{\nu})$ ) satisfies

$$W_{\psi_{(a)}}^{\bullet} \left( \begin{bmatrix} 1 & u & & \\ & 1 & & \\ & & 1 & \\ & & -u & 1 \end{bmatrix} \begin{bmatrix} 1 & * & * \\ & 1 & * & z \\ & & 1 & \\ & & & 1 \end{bmatrix} g \right) = W_{\psi_{(a)}}^{\bullet}(g) \times \begin{cases} \psi(au) & (\bullet = P), \\ \psi(az) & (\bullet = Q), \end{cases}$$
(2.23)

then we say  $W^{ullet}_{\psi_{(a)}}$  is a ullet-degenerate global (resp. local) Whittaker function.

#### 3. Automorphic forms on $GSp_4(\mathbb{A})$

Let  $\Pi_{f_i}$ ,  $\Pi_{g_j}$  be the irreducible cuspidal automorphic representations associated to  $f_i$ ,  $g_j$  (cf. Proposition 2.2). The idea of our proof of Theorem A is as follows. We will show that a D-critical representation associated to the Hilbert modular form  $\pi(\lambda)$  of  $\mathbb{Q}(\sqrt{2})$ , and the  $(\frac{-2}{*})$ -twist of a Saito–Kurokawa representation associated to  $\rho_1$  has a  $\Gamma(2, 4, 8)_2$ -fixed vector. Because the 2-component of this D-critical representation, and that of this  $(\frac{-2}{*})$ -twist of the Saito–Kurokawa representation are given by local  $\theta$ -lifts from GSO(4), we will do it by constructing local Whittaker functions, or local degenerate Whittaker functions defined in 2.3 of these local  $\theta$ -lifts. If it is done, then each of these representation has an automorphic form related to a Siegel modular form belonging to  $S_3(\Gamma(2, 4, 8))$ . From the eigenvalues of  $\Pi_{f_i}$ ,  $\Pi_{g_j}$  computed in [7], one concludes  $\Pi_{g_1}$  is this D-critical representation and  $\Pi_{g_4}$  is this  $(\frac{-2}{*})$ -twist of the Saito–Kurokawa representation. In this way, the conjecture is verified.

#### 3.1. D-critical representation, proof for $L(s, \Pi_{g_1}; spin)$

Let *L* be a quadratic field with the ring of integers  $\mathfrak{o}$ . Let  $\delta_L$  be the discriminant of *L*. For an integral ideal  $\mathfrak{m}$  of a Dedekind ring *R*, let

$$\tilde{\Gamma}_{0}^{(n)}(\mathfrak{m}) = \left\{ g = \begin{bmatrix} A_g & B_g \\ C_g & D_g \end{bmatrix} \in \mathrm{GSp}_{2n}(R) \mid C_g \in \mathrm{M}_n(\mathfrak{m}) \right\},\$$
$$\Gamma_{0}^{(n)}(\mathfrak{m}) = \tilde{\Gamma}_{0}^{(n)}(\mathfrak{m}) \cap \mathrm{Sp}_{2n}(R).$$

First, we show the following proposition.

**Proposition 3.1.** Let p be a prime which does not split in  $L/\mathbb{Q}$ , and  $\mathfrak{p}$  denote the unique prime ideal of L lying over p. Let  $\pi$  be an irreducible cuspidal automorphic representation of  $PGL_2(L_A)$  of level  $\mathfrak{n}$ . Then, there is an automorphic form  $F \in \Theta_2(\pi)$  such that

$$\varrho(g)F = \chi_{L,p} \left( \det(A_g) \right) F, \quad g \in \Gamma_0^{(2)} \left( p^N \mathbb{Z}_p \right), \tag{3.1}$$

where  $\chi_L$  is the quadratic character of  $\mathbb{A}^{\times}$  associated to the extension  $L/\mathbb{Q}$ , and

$$N = \begin{cases} \frac{\operatorname{ord}_{\mathfrak{p}}(\mathfrak{n})}{2} + \operatorname{ord}_{\mathfrak{p}}(\delta_L) & \text{if } p \text{ is ramified and } \operatorname{ord}_{\mathfrak{p}}(\mathfrak{n}) \text{ is even,} \\ \frac{\operatorname{ord}_{\mathfrak{p}}(\mathfrak{n})+1}{2} + \operatorname{ord}_{\mathfrak{p}}(\delta_L) & \text{if } p \text{ is ramified and } \operatorname{ord}_{\mathfrak{p}}(\mathfrak{n}) \text{ is odd,} \\ \operatorname{ord}_{\mathfrak{p}}(\mathfrak{n}) & \text{otherwise.} \end{cases}$$

**Proof.** For a  $\varphi = \bigotimes_{\nu} \varphi_{\nu} \in S(X_{M_2}(\mathbb{A})^2)$  and an  $f \in \pi$ , each component  $W_{F,\psi_{\nu}}$  of the global standard Whittaker function of  $F = \theta_2(\varphi, f)$  is given by (2.17) or (2.21). Therefore, it suffices to construct a nontrivial  $W_{F,\psi_p}$  which is right  $\Gamma_0(p^N \mathbb{Z}_p)$ -semi invariant as in (3.1). We will give a proof for the first case with  $L = \mathbb{Q}(\sqrt{2})$  and p = 2. The other cases are easier and omitted. For an ideal  $\mathfrak{m} \subset \delta_L \mathfrak{o}_p$  of  $\mathfrak{o}_p$ , let

$$\tilde{\Gamma}_0'(\mathfrak{m}) = \begin{bmatrix} \mathfrak{o}_\mathfrak{p} & \delta_L^{-1} \mathfrak{o}_\mathfrak{p} \\ \mathfrak{m} & \mathfrak{o}_\mathfrak{p} \end{bmatrix} \cap \operatorname{GL}_2(L_\mathfrak{p})$$

In the case  $\operatorname{ord}_{\mathfrak{p}}(\mathfrak{n}) = 0$ , the proof is easy and omitted. Suppose that  $\operatorname{ord}_{\mathfrak{p}}(\mathfrak{n})$  is a positive (even) integer. Then,  $\pi_{\mathfrak{p}}$  is a ramified principal series representation or a supercuspidal representation. The conductor of the additive character  $\psi_{L_{\mathfrak{p}}} = \psi_{\mathfrak{p}} \circ \operatorname{Trace}_{L_{\mathfrak{p}}/\mathbb{Q}_{\mathfrak{p}}}$  is  $\mathfrak{p}^{-3}$ . Using the local newform theory for GL(2), we find that  $\pi_{\mathfrak{p}}$  has a right  $\tilde{\Gamma}'_{0}(\delta_{L}\mathfrak{n})$ -invariant local Whittaker function  $\beta_{\mathfrak{p}}$  associated to  $\psi_{L_{\mathfrak{p}}}$  such that

$$\beta_{\mathfrak{p}}\left(\begin{bmatrix}1 & z\\ & 1\end{bmatrix}\begin{bmatrix}t\\ & 1\end{bmatrix}\right) = \begin{cases} \psi_{L_{\mathfrak{p}}}(z) & \text{if } t \in \mathfrak{o}_{\mathfrak{p}}^{\times}, \\ 0 & \text{otherwise,} \end{cases}$$
(3.2)

$$\varrho \left( \begin{bmatrix} & -1 \\ p^N & \end{bmatrix} \right) \beta_{\mathfrak{p}} = \pm \beta_{\mathfrak{p}}.$$
(3.3)

For an integral ideal  $\mathfrak{m}$  of a Dedekind ring R, let  $R_0(\mathfrak{m}) = \{ \begin{bmatrix} * & * \\ c & * \end{bmatrix} \in M_2(R) \mid c \in \mathfrak{m} \}$  be the so-called Eichler order of  $M_2(R)$  of level  $\mathfrak{m}$ . We set

$$\phi(x_1, x_2) = \operatorname{ch}(x_1; R_0(p^N) \cap X_{\mathsf{M}_2(\mathbb{Q}_p)}) \operatorname{ch}(x_2; R_0(p^N) \cap X_{\mathsf{M}_2(\mathbb{Q}_p)})$$

where ch indicates the characteristic function. Put  $\mathbb{K}_p = i_{\rho'}(\mathbb{Q}_p^{\times} \times \tilde{\Gamma}_0^{(1)}(p^N)) \cap SO_X(\mathbb{Q}_p)$ . If  $g \in \Gamma_0^{(2)}(p^N)$  and  $h \in \mathbb{K}_p$ , then

$$r_{p}^{2}(g,h)\phi = \chi_{L,p}(\det A_{g})r_{p}^{2}(1,h)\phi.$$
(3.4)

From (2.21),

$$W_{F,\psi_p}(g) = \operatorname{vol}(\mathbb{K}_p) \int_{Z_{(e,\alpha)}(\mathbb{Q}_p) \setminus \operatorname{SO}_{X_{\mathbf{M}_2}}(\mathbb{Q}_p) / \mathbb{K}_p} r_p^2(g,h) \phi(e,\alpha) \beta_p(\bar{h}) \, \mathrm{d}\bar{h},$$
(3.5)

where  $\overline{h}$  indicates the projection of  $h \in GL_2(L_p)$  to  $SO_X(\mathbb{Q}_p)$  (see (2.20) for the definition of  $Z_{(e,\alpha)}$ ). Then, we are going to see  $W_{F,\psi_p}(1) \neq 0$ . Using the Iwasawa decomposition of  $GL_2(L_p)$ , we can take the following complete system of representatives for  $Z_{(e,\alpha)}(\mathbb{Q}_p) \setminus SO_X(\mathbb{Q}_p) / \mathbb{K}_p$ :

$$\begin{pmatrix} 2^m, \begin{bmatrix} 1 & s \\ & 1 \end{bmatrix} \begin{bmatrix} 2^m & \\ & 1 \end{bmatrix} \begin{bmatrix} 1 & \\ l & 1 \end{bmatrix} \end{pmatrix}, \begin{pmatrix} 2^{m+\frac{N}{2}}, \begin{bmatrix} 1 & s \\ & 1 \end{bmatrix} \begin{bmatrix} 2^m & \\ & 1 \end{bmatrix} \begin{bmatrix} 2^N & -1 \\ 2^N & \end{bmatrix} \end{pmatrix}$$

where  $s \in \mathbb{Q}_2$ ,  $m \in \mathbb{Z}$  and  $l \in \mathfrak{o}_p$  modulo  $2^N$ . We will observe the contributions of these types to the integral (3.5). We will denote  $\rho'(t, h)(e, \alpha) = (\begin{bmatrix} a_1 & b_1 \\ c_1 & d_1 \end{bmatrix}, \begin{bmatrix} a_2 & b_2 \\ c_2 & d_2 \end{bmatrix})$ . For the former types, we calculate

$$\rho'(t,h)(e,\alpha) = \left( \begin{bmatrix} 2^{-m}l & 2^{-m} \\ -2^{-m}ll^c & -2^{-m}l^c \end{bmatrix}, \begin{bmatrix} 1+2^{-m+1}ls & 2^{-m+1}s \\ -(l+l^c)-2^{-m+1}ll^cs & -1-2^{-m+1}l^cs \end{bmatrix} \right)$$

where *c* is the generator of  $\text{Gal}(L/\mathbb{Q})$ . Suppose  $\rho'(t,h)(e,\alpha) \in \text{supp}(\phi)$ . Observing  $b_1$ , we find  $m \leq 0$ . If m < 0, then

$$\rho'\left(t, \begin{bmatrix} 1 & \frac{1}{4} \\ & 1 \end{bmatrix} h\right)(e, \alpha) \in \operatorname{supp}(\phi).$$

Because  $\beta_2(\begin{bmatrix} 1 & \frac{1}{4} \\ 1 \end{bmatrix} h) = -\beta_2(h)$ , we can ignore the contribution if m < 0. Therefore, we can assume m = 0. Then, observing  $c_1$ , we find  $l \in \mathfrak{p}^N$ . Observing  $b_2$ , we find  $s \in 2^{-1}\mathbb{Z}_2$ . We see that, if m = 0,  $l \in \mathfrak{p}^N$  and  $s \in 2^{-1}\mathbb{Z}_2$ , then  $\rho'(t,h)(e,\alpha) \in \operatorname{supp}(\phi)$ . Now, recall that  $\beta_{\mathfrak{p}}$  is a local new vector, which is right  $\Gamma'_0(\delta_L \mathfrak{n})$ -invariant. Hence, if  $c \in \mathfrak{p}^{-1}\delta_L \mathfrak{n} \setminus \delta_L \mathfrak{n}$ , then

$$\varrho\left(\begin{bmatrix}1\\c&1\end{bmatrix}\right)\beta_2 = -\beta_2.$$

Using this property, we conclude that the sum of the contributions of the former types are none. For the latter types, we calculate

$$\rho'(t,h)(e,\alpha) = \left( \begin{bmatrix} 0 & 0 \\ 2^{N-m} & 0 \end{bmatrix}, \begin{bmatrix} -1 & 0 \\ -2^{N+1-m}s & 1 \end{bmatrix} \right).$$

Suppose  $\rho'(t, h)(e, \alpha) \in \text{supp}(\phi)$ . Using (3.2) and (3.3), we can assume m = 0. Observing  $c_2$ , we find that  $s \in 2^{-1}\mathbb{Z}_2$ . Then, using (3.2) again, we see that the total contribution of the latter types is non-trivial. This completes the proof.  $\Box$ 

Let  $\zeta_8 = \frac{(1+i)}{\sqrt{2}}$ . Let  $L = \mathbb{Q}(\sqrt{2})$  (resp.  $K = \mathbb{Q}(\zeta_8)$ ) with the ring of integers  $\mathfrak{o}$  (resp.  $\mathfrak{D}$ ). Let  $\mathfrak{p}$  (resp.  $\mathfrak{P}$ ) be the unique (ramified) prime ideal of  $\mathfrak{o}$  (resp.  $\mathfrak{D}$ ) lying over the prime ideal 2 of  $\mathbb{Q}$ . Next, we observe the irreducible cuspidal automorphic representation  $\pi(\lambda)$  of  $GL_2(L_{\mathbb{A}})$  obtained from the größencharacter  $\lambda$  of  $K_{\mathbb{A}}^{\times}$  on p. 870 of [7]. The definition of  $\lambda$  is as follows. For the two archimedean places  $\infty_1, \infty_2$  of  $K, \lambda_{\infty_1}(z) = |z|^3/z^3, \lambda_{\infty_2}(z) = |z|/z, z \in \mathbb{C}^{\times}$ . Thus, the lowest weights of the archimedean components of  $\pi(\lambda)$  are 4, 2, respectively. The conductor of  $\lambda$  is  $\mathfrak{P}^4 = (2)$ , and

$$(\mathfrak{O}/\mathfrak{P}^4)^{\times} = \langle \zeta_8 \pmod{2} \rangle \oplus \langle (1+\sqrt{2}) \pmod{2} \rangle \simeq \mathbb{Z}/4\mathbb{Z} \oplus \mathbb{Z}/2\mathbb{Z}.$$

Then,  $\lambda_{\mathfrak{P}}$  is defined by

$$\lambda_{\mathfrak{P}}(\zeta_8 \pmod{2}) = 1, \qquad \lambda_{\mathfrak{P}}((1+\sqrt{2}) \pmod{2}) = -1.$$

We define the quasi-character  $\mu$  on  $L_{\mathfrak{p}}^{\times}$  with conductor  $\mathfrak{p}^3$  by

$$\mu\left((1+\sqrt{2}) \pmod{\mathfrak{p}^3}\right) = \mathbf{i}$$

where  $(\mathfrak{o}/\mathfrak{p}^3)^{\times} = \langle (1 + \sqrt{2}) \pmod{\mathfrak{p}^3} \rangle \simeq \mathbb{Z}/4\mathbb{Z}$ . Then, it holds  $\lambda_{\mathfrak{P}} = \mu \circ N_{K/L}$ . Let  $\chi_{K/L}$  be the quadratic character of  $L^{\times}_{\mathbb{A}}$  associated to the extension K/L. The central character of  $\pi(\lambda)$  is  $\lambda|_{L^{\times}_{\mathbb{A}}}\chi_{K/L}$ . Because both of  $\lambda_{\infty_i}\chi_{K/L,\infty_i}$  and  $\lambda_{\mathfrak{P}}\chi_{K/L,\mathfrak{p}} = \mu \circ N_{K/L,\mathfrak{p}}\chi_{K/L,\mathfrak{p}}$  are trivial, so is the central character of  $\pi(\lambda)$ . Employing Theorem 4.6(iii) of [9], we find that  $\pi(\lambda)_{\mathfrak{p}}$  is the principal series representation

$$\pi(\mu, \mu \chi_{K/L, \mathfrak{p}}) = \pi(\mu, \overline{\mu}) \tag{3.6}$$

of level  $\mathfrak{p}^6$ .

Finally, we prove the conjecture. One can construct an automorphic form  $F \in \Theta_2(\pi(\lambda))$  satisfying  $\varrho(u)F = F$  for  $u \in \text{Sp}_4(\mathbb{Z}_p)$  at  $p \neq 2$ , and (3.1) at 2. The local standard Whittaker function  $W_{F,\psi,2}$  is right  $\Gamma_0^{(2)}(2^6)_2$ -semi invariant and  $W_{F,\psi,2}(1) \neq 0$ . Let  $g_0 = \text{diag}(2^5, 2^3, 2^{-2}, 1) \in \text{GSp}_4(\mathbb{Q})$ , and  $F'(g) = F(g_0g_0^{-1}) = F(gg_0^{-1})$ . Let

$$\Gamma' := g_0^{-1} \Gamma_0^{(2)} (2^6 \mathbb{Z}_2) g_0 = \begin{bmatrix} \mathbb{Z}_2 & 2^2 \mathbb{Z}_2 & 2^6 \mathbb{Z}_2 & 2^5 \mathbb{Z}_2 \\ 2^{-2} \mathbb{Z}_2 & \mathbb{Z}_2 & 2^5 \mathbb{Z}_2 & 2^3 \mathbb{Z}_2 \\ \mathbb{Z}_2 & 2 \mathbb{Z}_2 & \mathbb{Z}_2 & \mathbb{Z}_2 & 2^{-2} \mathbb{Z}_2 \\ 2 \mathbb{Z}_2 & 2^3 \mathbb{Z}_2 & 2^2 \mathbb{Z}_2 & \mathbb{Z}_2 \end{bmatrix} \cap \operatorname{Sp}_4(\mathbb{Q}_2)$$

Then, F' is right  $\Gamma'$ -semi invariant, and so is  $W_{F',\psi_{4,8}}$ . Note that  $\Gamma(2,4,8)_2 \cap \Gamma_0^{(2)}(8\mathbb{Z}_2) \subset \Gamma'$ . Because

$$\varrho\left(\begin{bmatrix}1 & s_1 & s_2\\ & 1 & s_2\\ & & 1 & \\ & & & 1\end{bmatrix}\right)W_{F',\psi_{4,8},2}(1) = W_{F',\psi_{4,8},2}(1) \neq 0$$

for  $s_1, s_2 \in \mathbb{Q}_2$ ,

$$\int_{\Gamma(2,4,8)_2} \varrho(u) W_{F',\psi_{4,8}}(1) \, \mathrm{d}u \neq 0.$$
(3.7)

Hence, there is an irreducible globally generic constituent of  $\Theta_2(\pi(\lambda))$ , which has a right  $\Gamma(2, 4, 8)_2 \times \prod_{p \neq 2} \operatorname{Sp}_4(\mathbb{Z}_p)$ -invariant vector. We denote this representation by  $\Pi^{\text{gen}}$ .

**Theorem 3.2.** The irreducible cuspidal automorphic representation  $\Pi_{g_1}$  is a D-critical representation associated to  $\pi(\lambda)$ . The conjecture is true.

**Proof.** First, employing the result of local  $\theta$ -correspondence for Sp<sub>4</sub>( $\mathbb{R}$ ) and O<sub>2,2</sub>( $\mathbb{R}$ ) due to Przebinda [20], we find that  $\Pi_{\infty}^{\text{gen}}|_{\text{Sn}_4}$  is the large discrete series representation with Blattner parameter (3, -1), a cohomological weight. Next, we claim that  $\Pi^{\text{gen}}$  is not a weak endoscopic lift, nor a CAP representation. Recall that the lowest weights of the archimedean components of  $\pi(\lambda)$  are (4, 2). Hence,  $\pi(\lambda)$  is not a base change lift. From Lemma 2.4,  $\Pi^{\text{gen}}$  is not a weak endoscopic lift. On the authority of Piatetski-Shapiro [18], and Soudry [26], every partial spinor L-function of a CAP representation is, up to finitely many Euler factors, in the form of  $L(s-\frac{1}{2},\chi)L(s+\frac{1}{2},\chi)L(s-\frac{1}{2},\chi')L(s+\frac{1}{2},\chi')$ ,  $L(s - \frac{1}{2}, \mu)L(s + \frac{1}{2}, \mu)$ , or  $L(s - \frac{1}{2}, \chi)L(s + \frac{1}{2}, \chi)L(s, \sigma_1)$ . Here  $\chi, \chi'$  are some quadratic character of  $\mathbb{A}^{\times}$ ,  $\mu$  is a quasi-character of  $L^{\times}_{\mathbb{A}}$  for a quadratic field L, and  $\sigma_1$  is an irreducible cuspidal automorphic representation of GL<sub>2</sub>(A). But,  $L(s, \pi(\lambda)) = L(s, \lambda)$  satisfies the Ramanujan conjecture. Hence the claim. Finally, according to Theorem III and Proposition 1.5 of Weissauer [31], there is an irreducible cuspidal automorphic representation  $\Pi^{hol}$  such that

- $\Pi_{\infty}^{\text{hol}}|_{\text{Sp}_4}$  is the holomorphic discrete series representation with Blattner parameter (3, 3).  $\Pi_{\nu}^{\text{hol}} \simeq \Pi_{\nu}^{\text{gen}}$  at  $\nu \neq \infty$ .

Thus,  $\Pi^{\text{hol}}$  contributes to  $H^{3,0}(\text{Gr}_3^W(S_{\Gamma(2,4,8)},\mathbb{C})) \simeq S_3(\Gamma(2,4,8))$ , i.e.,  $\Pi^{\text{hol}}$  is one of the 11 irreducible representations  $\Pi_{f_1}, \ldots, \Pi_{g_4}$ . Observing some *L*-factors of them calculated in [7], one can conclude that  $\Pi^{\text{hol}} = \Pi_{g_1}$ . This completes the proof.  $\Box$ 

**Remark 1.** Using the definition of  $\mu$ , one can show that  $\pi(\lambda)$  is invariant but not distinguished in the sense of Roberts [22]. Employing Theorem 8.5 of [22], we find that the set of D-critical representations associated to  $\pi(\lambda)$  consists of four irreducible representations  $\Pi^{\text{gen}} = \Pi_1, \Pi_2, \Pi_3, \Pi_4$ . They are all given by a  $\theta$ -lift from GSO(4). Further,  $\Pi_{2,\infty} \simeq \Pi_{3,\infty}$  (resp.  $\Pi_{1,\infty} \simeq \Pi_{4,\infty}$ ) is the holomorphic (resp. large) discrete series representation with Blattner parameter (3, 3) (resp. (3, -1)), and  $\Pi_{1,p} \simeq \Pi_{2,p}, \Pi_{3,p} \simeq \Pi_{4,p}$  at every nonarchimedean place. Noting this fact, one can show the above theorem.

#### 3.2. Saito–Kurokawa representation, proof for $L(s, \Pi_{g_4}; spin)$

First, we will recall some known results on Saito-Kurokawa representation. For a square free integer *a*, let  $\chi^{(a)}$  denote the quadratic character of  $\mathbb{A}^{\times}$  associated to the extension  $\mathbb{Q}(\sqrt{a})/\mathbb{Q}$ . For an irreducible cuspidal automorphic representation  $\tau$  of  $\operatorname{GSp}_{2n}(\mathbb{A})$ , we will abbreviate  $\chi^{(a)}\tau$  as  $\tau^{(a)}$ . Let  $B_{\mathbb{Q}}$  be a quaternion algebra. Let  $\sigma$  be an irreducible cuspidal automorphic representation of  $PB(\mathbb{A})^{\times}$ . Suppose that  $\sigma_{\infty}^{JL}$  is the holomorphic discrete series representation of lowest weight 4. Let  $\mathbf{1}_{B(\mathbb{A})^{\times}} = \mathbf{1}$  denote the trivial representation of  $B(\mathbb{A})$ . For a  $\{\pm 1\}$ -valued character  $\chi$  of  $\mathbb{Q}^{\times} \setminus \mathbb{A}^{\times}$ , we denote by  $\chi \sigma$  the representation of PB(A)<sup>×</sup> sending  $h \in B(A)^{×}$  to  $\chi(N_{B/\mathbb{Q}}(h))\sigma(h)$ . We will abbreviate  $\chi \mathbf{1}_{B(A)^{×}}$ as  $\chi$ . If  $B_{/\mathbb{Q}}$  is not split, then  $\Theta_2(\chi \boxtimes \sigma)$  is cuspidal. It is easy to show that  $\Theta_2(\chi \boxtimes \sigma)$  is not vanishing, if and only if  $L(\frac{1}{2}, \chi \sigma) \neq 0$ , by using a result of Waldspurger [29]. On the other hand, if  $B_{/\mathbb{Q}}$  is split, then  $\Theta_2(\chi \boxtimes \sigma)$  is non-vanishing and noncuspidal. Indeed, one can construct an  $f \in \Theta_2(\chi \boxtimes \sigma)$  so that the *P*-degenerate Whittaker function  $W_{f,\psi}^p$  is nontrivial as is explained below (hence,  $\Phi_P(f)$  defined in (2.22) is nontrivial). We will recall the result of Cogdell and Piatetski-Shapiro [4] and Schmidt [24]. Let  $\pi$  be an irreducible cuspidal automorphic representation of PGL<sub>2</sub>(A). The global cuspidal Saito-Kurokawa packet  $SK_0(\pi)$  is defined as the set of irreducible cuspidal automorphic representations of  $PGSp_4(\mathbb{A})$  whose spinor L-functions are equal to  $\zeta(s-\frac{1}{2})\zeta(s+\frac{1}{2})L(s,\pi)$ , up to finitely many Euler factors. Let D<sub>v</sub> be the unique division quaternion algebra over  $\mathbb{Q}_{v}^{-}$ . When  $\pi_{v}$  is square-integrable, let  $\pi'_{v}$  denote the Jacquet–Langlands transfer to  $D_{v}^{\times}$ . The local Saito–Kurokawa packet is the following set:

$$SK(\pi_{\nu}) = \begin{cases} \{\theta_2(\mathbf{1}_{\nu} \boxtimes \pi_{\nu}), \theta_2(\mathbf{1}_{\nu} \boxtimes \pi'_{\nu})\}, & \text{if } \pi_{\nu} \text{ is square-integrable} \\ \{\theta_2(\mathbf{1}_{\nu} \boxtimes \pi_{\nu})\}, & \text{otherwise.} \end{cases}$$

At a nonarchimedean place v = p, as is explained on pp. 230–233 of [24],  $\theta_2(\mathbf{1}_p \boxtimes \pi_p)$  is the local Saito–Kurokawa representation that is the unique irreducible quotient of the Siegel parabolically induced representation  $|*|^{1/2}\pi_p \rtimes |*|^{-1/2}$  (cf. [24,23]). For a  $\{\pm 1\}$ -valued character  $\chi_p$ ,  $\theta_2(\chi_p \boxtimes \pi_p)$  is the  $\chi_p$ -twist of the local Saito–Kurokawa representation  $\theta_2(\mathbf{1}_p \boxtimes \chi_p \pi_p)$ .

Next, we will observe the global cuspidal Saito–Kurokawa packet of  $\rho_1$ , and that of  $\rho_1^{(-2)}$ . For a moment, let

$$B_{\mathbb{Q}} = \mathbb{Q} + \mathbb{Q}I + \mathbb{Q}J + \mathbb{Q}IJ, \qquad I^2 = J^2 = -1, \qquad IJ = -JI.$$
 (3.8)

This quaternion algebra splits outside of  $\{\infty, 2\}$ . As is seen in Section 4 of [17],  $\rho_1$  has the Jacquet–Langlands transfer to PB(A)<sup>×</sup>. Denote it by  $\rho'_1$ . In [17], the Siegel modular form  $F_1$  is constructed by the Yoshida lift of  $(1, \rho'_1)$ . This implies

$$L\left(\frac{1}{2},\rho_1\right) \neq 0, \qquad \varepsilon\left(\frac{1}{2},\rho_1\right) = \varepsilon\left(\frac{1}{2},\rho_{1,2},\psi_2\right) = 1.$$

The 2-component  $\rho'_{1,2}$  is the finite dimensional representation of  $B_2^{\times} \simeq D_2^{\times}$  described as follows. We fix the maximal order  $\mathcal{R} = \mathbb{Z}_2 + \mathbb{Z}_2 I + \mathbb{Z}_2 J + \mathbb{Z}(\frac{1+I+J+IJ}{2}) \subset B_2$ . Let  $\varpi \in B_2$  be an uniformizer. Let  $\mathcal{R}(2) = \mathbb{Z}_2 + \varpi^2 \mathcal{R}$ . As a complete system of representatives U of  $\mathcal{R}^{\times}/\mathcal{R}(2)^{\times}$ , we can take  $\{1, I, J, \frac{1\pm I\pm J\pm IJ}{2}\}$ . Let  $W = \mathbb{C}I + \mathbb{C}J + \mathbb{C}IJ$ . Then, we obtain a finite dimensional representation  $\tau_2$  of  $B_2^{\times}$  from the automorphism of W defined by  $u^{-1}wu$ . Because  $B_A^{\times} = B_{\mathbb{Q}}^{\times}\mathcal{R}(2)_A^{\times}$ , from this representation, one can obtain an automorphic representation  $\tau$  of  $PB_A^{\times}$ . One can construct a right  $\Gamma_0^{(1)}(8)$ -invariant vector in  $\Theta_1(\tau \boxtimes \tau)$  (see also Proposition 3.8). This means  $\rho'_1 = \tau$ , because the space of elliptic cusp form of weight 4 of level 8 is 1-dimensional. Hence  $\tau_2$  is irreducible and equivalent to  $\rho'_{1,2}$ .

**Lemma 3.3.** The root number of  $\rho_1^{(-2)}$  is -1.

**Proof.** Because  $\rho_{1,p}^{(-2)}$  is unramified for  $p \neq 2$  and  $\rho_{1,\infty}$  is the holomorphic discrete series representation of lowest weight 4, it suffices to show that  $\varepsilon(\frac{1}{2}, \rho_{1,2}^{(-2)}, \psi_2) = -1$ . We will see the  $\varepsilon$ -factor of the base change lift  $\rho_{1,p}^{BC}$  to  $GL_2(\mathbb{Q}(\sqrt{-2})_p)$  with  $\mathfrak{p} = \sqrt{-2}$ . Let  $L = \mathbb{Q}(\sqrt{-2})$ . Let  $\psi_L = \psi \circ \operatorname{Trace}_{L/\mathbb{Q}}$ . We identify  $L \simeq \mathbb{Q}(I + J) \subset B_{/\mathbb{Q}}$  for the above  $B_{/\mathbb{Q}}$ . Then  $\mathcal{R}(2) \cap L_p$  is the maximal order of  $L_p$ . Thus, every character (constituent) of the restriction  $\rho_{1,2}'|_{L_p^{\times}}$  is unramified. Because  $(I + J)^{-1}(I + J)(I + J) = I + J \in W$ , the trivial character of  $L_p^{\times}$  appears in this restriction. Applying Lemma 14 of [10], we have

$$\begin{aligned} -1 &= -\omega_{\rho_{1},2}(-1) \\ &= \varepsilon \left(\frac{1}{2}, \rho_{1,\mathfrak{p}}^{\mathrm{BC}}, \psi_{L,\mathfrak{p}}\right) \\ &= \varepsilon \left(\frac{1}{2}, \rho_{1,2}, \psi_{2}\right) \varepsilon \left(\frac{1}{2}, \rho_{1,2}^{(-2)}, \psi_{2}\right) \\ &= \varepsilon \left(\frac{1}{2}, \rho_{1,2}^{(-2)}, \psi_{2}\right). \quad \Box \end{aligned}$$

From Lemma 3.3, it follows that  $L(s, \rho_1^{(-2)}) = -L(1 - s, \rho_1^{(-2)})$ , and hence

$$L\left(\frac{1}{2},\rho_{1}^{(-2)}\right) = 0, \qquad \varepsilon\left(\frac{1}{2},\rho_{1}^{(-2)}\right) = \varepsilon\left(\frac{1}{2},\rho_{1,2}^{(-2)},\psi_{2}\right) = -1.$$

Employing the main lifting theorem of [24], and Theorem 3.1 of [4], we conclude

$$SK_{0}(\rho_{1}) = \left\{ \left( \bigotimes_{\nu=\infty,2} \theta_{2}(\mathbf{1} \boxtimes \rho_{1,2}') \right) \otimes \left( \bigotimes_{\nu\neq\infty,2} \theta_{2}(\mathbf{1} \boxtimes \rho_{1,\nu}) \right) \right\},$$
  
$$SK_{0}(\rho_{1}^{(-2)}) = \left\{ \theta_{2}(\mathbf{1} \boxtimes \rho_{1,2}'^{(-2)}) \otimes \left( \bigotimes_{\nu\neq2} \theta_{2}(\mathbf{1} \boxtimes \rho_{1,\nu}^{(-2)}) \right), \ \theta_{2}(\mathbf{1} \boxtimes \rho_{1,\infty}'^{(-2)}) \otimes \left( \bigotimes_{\nu\neq\infty} \theta_{2}(\mathbf{1} \boxtimes \rho_{1,\nu}^{(-2)}) \right) \right\}.$$

Note that  $\theta_2(\mathbf{1} \boxtimes \rho_{1,\infty}^{(-2)})|_{\mathrm{Sp}(4)}$  is the holomorphic discrete series representation with Blattner parameter (3, 3). Therefore, we guess that the latter constituent of  $\mathrm{SK}_0(\rho_1^{(-2)})$  is  $\chi^{(-2)}\Pi_{g_4}$ . We want to show that  $\theta_2(\chi_p^{(-2)} \boxtimes \rho_{1,p})$  has a right  $\Gamma(2, 4, 8)_p$ -invariant vector for every p. The local  $\theta$ -lift  $\theta_2(\chi_v^{(-2)} \boxtimes \rho_{1,v}) = \chi_v^{(-2)}\theta_2(\mathbf{1} \boxtimes \rho_{1,v}^{(-2)})$  does not have a local Whittaker function. But it has a local P-degenerate Whittaker function  $W_{\psi_v}^p$  as follows. Let  $e' = \begin{bmatrix} 1 \\ 1 \end{bmatrix}$ . Let  $Z_{(e,e')} \subset \mathrm{SO}_X$  be the pointwise stabilizer subgroup of e, e', which is isomorphic to

$$\left\{ \left( \begin{bmatrix} 1 & s \\ & 1 \end{bmatrix}, \begin{bmatrix} 1 & \\ & 1 \end{bmatrix} \right) \mid s \in \mathbb{Q}_{\nu} \right\}$$

via  $i_{\rho}$ . Then,  $W^{P}_{\psi_{\nu}}(g)$  of  $\theta_{2}(\chi^{(-2)}_{\nu} \boxtimes \rho_{1,\nu})$  is

$$\int_{Z_{(e,e')}(\mathbb{Q}_{\nu})\setminus SO_{M_{2}}(\mathbb{Q}_{\nu})} r_{\nu}^{2} (g, i_{\rho}(h_{1}, h_{2})) \varphi_{\nu}(e, e') \chi_{\nu}^{(-2)} (\det(h_{1})) \beta_{\nu}(h_{2}) dh_{1} dh_{2}$$
(3.9)

where  $\beta_{\nu}$  is a Whittaker function of  $\rho_{1,\nu}$  with respect to  $\psi_{\nu}$ . It is easy to construct a right  $\operatorname{Sp}_4(\mathbb{Z}_p)$ invariant  $W_{\psi_p}^P$  for a nonarchimedean place  $p \neq 2$ . We will construct a right  $\Gamma(2, 4, 8)_2$ -invariant P-degenerate Whittaker function of  $\theta(\chi_2^{(-2)} \boxtimes \rho_{1,2})$ . From  $\rho_{1,2}$ , we take the right  $\Gamma_0^{(1)}(8\mathbb{Z}_p)$ -invariant local Whittaker function  $\beta_2$  with respect to  $\psi_2$  such that  $\beta_2(1) = 1$ . We define

$$\phi'(x_1, x_2) = \chi_2^{(-2)}(b_1) \operatorname{ch}(x_2; M_2(\mathbb{Z}_2)) \times \begin{cases} 1 & \text{if } \operatorname{ord}_2(a_1) \ge 0, \ \operatorname{ord}_2(b_1) = 0, \ \operatorname{ord}_2(c_1), \operatorname{ord}_2(d_1) \ge 3, \\ 0 & \text{otherwise,} \end{cases}$$

where we write  $x_1 = \begin{bmatrix} a_1 & b_1 \\ c_1 & d_1 \end{bmatrix} \in M_2(\mathbb{Q}_2)$ . Let

$$\Gamma'' = \begin{bmatrix} 1+2^3 \mathbb{Z}_2 & \mathbb{Z}_2 & \mathbb{Z}_2 & \mathbb{Z}_2 \\ 2^3 \mathbb{Z}_2 & \mathbb{Z}_2 & \mathbb{Z}_2 & \mathbb{Z}_2 \\ 2^6 \mathbb{Z}_2 & 2^3 \mathbb{Z}_2 & 1+2^3 \mathbb{Z}_2 & 2^3 \mathbb{Z}_2 \\ 2^3 \mathbb{Z}_2 & \mathbb{Z}_2 & \mathbb{Z}_2 & \mathbb{Z}_2 \end{bmatrix} \cap \text{Sp}_4(\mathbb{Z}_2).$$

Then,  $\phi'$  is right  $\Gamma''$ -invariant. One can calculate (3.9) is not zero at g = 1, directly. Let  $g'_0 = \text{diag}(2^4, 2^3, 2^{-1}, 1)$ . Then

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$$g_0^{\prime-1}\Gamma''g_0^{\prime} = \begin{bmatrix} 1+2^3\mathbb{Z}_2 & 2\mathbb{Z}_2 & 2^5\mathbb{Z}_2 & 2^4\mathbb{Z}_2 \\ 2^2\mathbb{Z}_2 & \mathbb{Z}_2 & 2^4\mathbb{Z}_2 & 2^3\mathbb{Z}_2 \\ 2\mathbb{Z}_2 & 2^{-1}\mathbb{Z}_2 & 1+2^3\mathbb{Z}_2 & 2^2\mathbb{Z}_2 \\ 2^{-1}\mathbb{Z}_2 & 2^{-3}\mathbb{Z}_2 & 2\mathbb{Z}_2 & \mathbb{Z}_2 \end{bmatrix} \cap \operatorname{Sp}_4(\mathbb{Q}_2).$$

There is a right  $g_0'^{-1}\Gamma''g_0'$ -invariant  $W_{\psi_{(1/2),2}}^P \in \theta_2(\chi_2^{(-2)} \boxtimes \rho_{1,2})$  such that  $W_{\psi_{(1/2),2}}^P(1) \neq 0$ . Then, an integral similar to (3.7) gives a nontrivial right  $\Gamma(2, 4, 8)_2$ -invariant local *P*-degenerate Whittaker function of  $\theta_2(\chi_2^{(-2)} \boxtimes \rho_{1,2})$ . Consequently,

**Theorem 3.4.** The irreducible cuspidal automorphic representation  $\Pi_{g_4}$  is the  $\chi^{(-2)}$ -twist of the irreducible (holomorphic) constituent of SK<sub>0</sub>( $\rho_1$ ). The conjecture is true.

Finally, we give a remark. Observing the eigenvalues of  $g_4$  in the table of Section 8 of [7], we find that  $\Pi_{g_4}$  does not satisfy the generalized Ramanujan conjecture. Indeed

$$|\alpha_{p1}| = |\alpha_{p2}| = p^{\frac{3}{2}}, \qquad |\alpha_{p3}| = p, \qquad |\alpha_{p4}| = p^2$$

for p = 3, 5, 7, 11, 13, 17, 19, if we write the Hecke polynomial of  $\Pi_{g_4, p}$  as  $\prod_{i=1}^4 (X - \alpha_{pi})$ . Then, one can see that  $\Pi_{g_4}$  is a twist of a Saito–Kurokawa representation with the following proposition.

**Proposition 3.5.** For a Siegel modular 3-fold  $S_{\Gamma}$ , if an irreducible cuspidal automorphic representation  $\Pi$  contributes to  $H^{3,0}(Gr_3^W(S_{\Gamma}, \mathbb{C}))$  and does not satisfy the Ramanujan conjecture, then  $\Pi$  is a twist of a Saito–Kurokawa representation.

**Proof.** As stated by Theorem I of Weissauer [31], there is a  $GL_4(\overline{\mathbb{Q}}_2)$ -valued Galois representation  $\rho_{\Pi}$  of  $Gal(\overline{\mathbb{Q}}/\mathbb{Q})$  such that

$$L_{S_{\Pi}}\left(s-\frac{3}{2},\Pi;\operatorname{spin}\right)=L_{S_{\Pi}}(s,\rho_{\Pi}).$$

Assume that  $\Pi$  is not a CAP representation. Then  $\rho_{\Pi}$  is pure of weight 3, the eigenvalues of  $\rho_{\Pi}$  (Frob<sub>*p*</sub>) has absolute value  $p^{3/2}$ , and hence  $\Pi$  does not satisfy the Ramanujan conjecture. This is a contradiction. Hence  $\Pi$  is a CAP representation, i.e., an irreducible cuspidal automorphic representation associated to a parabolically induced representation. As stated by Theorem A of Soudry [26], every CAP representation associated to a Borel or Klingen parabolically induced representation is a constituent of a global  $\theta$ -lift of an irreducible automorphic representation  $\sigma_T$  of  $GO_T(\mathbb{A})$  for a quadratic field T. It is not hard to see the local  $\theta$ -lift to  $Sp_4(\mathbb{R})$  of  $\sigma_{T,\infty}$  is not a holomorphic discrete series representation with Blattner parameter (3, 3). Hence  $\Pi$  is a CAP representation associated to a Siegel parabolically induced representation. On the authority of Piatetski-Shapiro [18], such a representation is a twist of a Saito–Kurokawa representation.

#### 3.3. Weak endoscopic lift

Let  $f_5$  be the 6-tuple product of Igusa theta constants defined in [7], and  $\chi_{f_5}$  be the character of  $\Gamma(2)$  obtained from  $f_5$  through the Igusa transformation formula (cf. Lemmas 5.2, 5.3 in [7]). Let  $\Pi_{f_5}$  be the irreducible cuspidal automorphic representation of  $GSp_4(\mathbb{A})$  associated to  $f_5$  in Proposition 2.2. Our aim is to prove

**Theorem 3.6.** An irreducible cuspidal automorphic representation which is weakly equivalent to  $\Pi_{f_5}$  contributes to  $H^{2,1}(\operatorname{Gr}_3^W(S_{\operatorname{ker}(\chi_{f_5})}, \mathbb{C}))$ .

First, we recall that  $\Pi_{f_5}$  is a weak endoscopic lift of the pair  $(\pi(\mu), \pi(\mu^3))$  of the following CMelliptic cusp forms. Let  $E_{/\mathbb{Q}}$  be the CM-elliptic curve defined by the equation  $y^2 = x^3 - x$ . Let  $\mu$  be the größencharacter of  $\mathbb{Q}(i)^{\times}_{\mathbb{A}}$  such that  $L(s - \frac{1}{2}, \mu) = L(s, E_{/\mathbb{Q}})$ . At  $v = \infty$ ,  $\mu_{\infty}(z) = |z|/z, z \in \mathbb{C}^{\times}$ . Thus, the lowest weights of the holomorphic discrete series representations  $\pi(\mu)_{\infty}, \pi(\mu^3)_{\infty}$  are 2, 4, respectively. Let  $\mathfrak{o} = \mathbb{Z}[i]$ . Let  $\mathfrak{p} \subset \mathfrak{o}$  be the prime ideal lying over 2. The conductor of  $\mu$  is  $\mathfrak{p}^3$ , and thus  $\pi(\mu)_p, \pi(\mu^3)_p$  are unramified at  $p \neq 2$ . The group  $(\mathfrak{o}/\mathfrak{p}^3)^{\times}$  is the cyclic group of order 4 generated by i (mod  $\mathfrak{p}^3$ ), and  $\mu_\mathfrak{p}$  is defined by  $\mu_\mathfrak{p}(\mathfrak{i} \pmod{\mathfrak{p}^3}) = \mathfrak{i}$ .

**Lemma 3.7.** The 2-components  $\pi(\mu)_2$ ,  $\pi(\mu^3)_2$  are equivalent and supercuspidal.

**Proof.** From the definition,  $\mu_p$  is  $\{\pm 1, \pm i\}$ -valued on  $\mathfrak{o}_p^{\times}$ . Thus  $\mu_p = \overline{\mu}_p^3$  on  $\mathfrak{o}_p^{\times}$ . Noting that the central character of  $\pi(\mu)$  is trivial, we have

$$\pi(\mu)_2 = \pi(\overline{\mu}^3)_2 = \overline{\pi(\mu^3)_2} = \pi(\mu^3)_2.$$

There is no quasi-character  $\xi$  of  $\mathbb{Q}_2^{\times}$  such that  $\xi \circ N_{\mathbb{Q}(i)_p/\mathbb{Q}_2} = \mu$ . Employing Lemma 4.6 of [9], we find that  $\pi(\mu)_2$  is supercuspidal. This completes the proof.  $\Box$ 

Employing this lemma and the Jacquet–Langlands theory, we find that both of  $\pi(\mu), \pi(\mu^3)$  have the Jacquet–Langlands transfers  $\pi(\mu)', \pi(\mu^3)'$  to PB( $\mathbb{A}$ )× for the definite quaternion algebra  $B_{/\mathbb{Q}}$  defined in (3.8). In [17], we really construct a Siegel modular form lying in  $\Pi_{f_5}$  by the Yoshida lift  $\Theta_2(\pi(\mu)' \boxtimes \pi(\mu^3)')$ . Thus,  $\Pi_{f_5} = \Theta_2(\pi(\mu)' \boxtimes \pi(\mu^3)')$ . Further, employing Theorem 8.5 of [22], we find that the set of all weak endoscopic lifts of  $(\pi(\mu), \pi(\mu^3))$  is

$$\left\{ \Theta_2 \left( \pi(\mu) \boxtimes \pi(\mu^3) \right), \Theta_2 \left( \pi(\mu)' \boxtimes \pi(\mu^3)' \right) \right\}.$$

Therefore, we guess that the irreducible cuspidal automorphic representation of  $GSp_4(\mathbb{A})$  as in Theorem 3.6 is  $\Theta_2(\pi(\mu) \boxtimes \pi(\mu^3))$ , which is globally generic.

Next, in order to show the theorem, we will observe the local  $\theta$ -lift  $\theta_2(\pi(\mu)_2 \boxtimes \pi(\mu^3)_2) = \theta_2(\pi(\mu)_2 \boxtimes \pi(\mu)_2)$ , which is the 2-component of  $\Theta_2(\pi(\mu) \boxtimes \pi(\mu))$ . For the sake of generality, let  $B_{/\mathbb{Q}}$  be a general quaternion algebra and consider  $\Theta_2(\sigma \boxtimes \sigma)$  for an irreducible cuspidal automorphic representation  $\sigma$  of PB( $\mathbb{A}$ )<sup>×</sup>.

**Proposition 3.8.** Let  $\sigma$  be an irreducible cuspidal automorphic representation of PB(A). Let  $\Phi_Q$  be the operator defined in Section 2.3. Then,  $\Phi_Q (\Theta_2(\sigma \boxtimes \sigma))|_{GL(2)} = \sigma^{JL}$ .

**Proof.** For a  $\varphi \in \mathcal{S}(M_2(\mathbb{A})^2)$ , put  $\varphi_0(x) = \varphi(0, x) \in \mathcal{S}(M_2(\mathbb{A}))$ . Take an  $f \in \sigma$ , and put  $F = \theta_2(\varphi, f \boxtimes f)$ . We calculate  $\Phi_Q(F)|_{GL(2)} = \theta_1(\varphi_0, f \boxtimes f)$ . We abbreviate  $W_{F,\psi}^Q(e_Q(g, 1))$  as  $W^1(g)$  for  $g \in SL_2(\mathbb{A})$ . Then

$$W^{1}(1) = \int_{Z_{1}(\mathbb{A})\setminus SO_{B}(\mathbb{A})} r^{1}(g, i_{\rho}(h_{1}, h_{2}))\varphi_{0}(1) \left(\int_{Z_{1}(\mathbb{Q})\setminus Z_{1}(\mathbb{A})} \overline{f}(bh_{1})f(bh_{2}) db\right) dh_{1} dh_{2}, \quad (3.10)$$

where  $Z_1$  denotes the stabilizer subgroup of  $1 \in B(\mathbb{Q})$ , which is isomorphic to  $\{(b, b) \mid b \in B(\mathbb{A})^{\times}\}$ via  $i_{\rho}$ . Obviously, the integral in the parenthesis is nontrivial, and so is  $W^1(1)$ . Thus  $\theta_1(\varphi_0, f \boxtimes f)$ is nontrivial. Because  $\theta_1(\varphi_0, f \boxtimes f)$  is right  $GL_2(\mathbb{Z}_p)$ -invariant for almost all p, it is easy to see that  $\Phi_Q(F)|_{GL_2(\mathbb{Q}_p)} \in \sigma_p^{JL}$ . Noting the strong multiplicity theorem for GL(2), we find  $\Phi_Q(F)|_{GL(2)} \in \sigma^{JL}$ . Hence the assertion.  $\Box$ 

**Remark 2.** This proof implies that  $\Phi_0(\Theta_2(\sigma_1 \boxtimes \sigma_2)) = 0$  if  $\sigma_1 \neq \sigma_2$ .

**Remark 3.** If  $\pi_p$  is a supercuspidal representation, then  $\theta_2(\pi_p \boxtimes \pi_p)$  (resp.  $\theta_2(\pi'_p \boxtimes \pi'_p)$ ) is the constituent  $\tau(S, \pi_p)$  (resp.  $\tau(T, \pi_p)$ ) of the parabolically induced representation  $1 \rtimes \pi_p$  (see [23] for the meanings of these symbols).

From this proof, there are a pair of  $\phi_1 \in S(\mathbb{B}(\mathbb{A}))$  and  $f_0 \in \sigma$  such that  $\theta_1(\phi_1, f_0 \boxtimes f_0)$  is a newform of  $\sigma^{JL}$ . In particular, if we set a  $\varphi \in S(\mathbb{B}(\mathbb{A})^2)$  so that  $\varphi_0 = \phi_1$ , then  $\theta_2(\varphi, f \boxtimes f)$  is nontrivial. For example, set  $\varphi(x_1, x_2) = \phi_1(x_2)\varphi'_{\infty}(x_1) \otimes_p \operatorname{ch}(x_1; \mathcal{R}_p)$ , where  $\mathcal{R}$  is a maximal order of  $\mathbb{B}(\mathbb{Q})$  and  $\varphi'_{\infty}$  is an arbitrary Schwartz–Bruhat function on  $\mathbb{B}_{\infty}$  such that  $\varphi'_{\infty}(0) \neq 0$ . Then,  $\theta_2(\varphi, f_0 \boxtimes f_0)$  is right  $\operatorname{Kl}_p(\operatorname{ord}_p(N))$ -invariant if  $\mathbb{B}_p$  is split, and  $\operatorname{Kl}'_p(\operatorname{ord}_p(N))$ -invariant otherwise, where N is the level of  $\sigma^{JL}$ , and

$$\begin{split} \mathrm{Kl}_{p}(n) &:= \begin{bmatrix} \mathbb{Z}_{p} & p^{n}\mathbb{Z}_{p} & \mathbb{Z}_{p} & \mathbb{Z}_{p} & \mathbb{Z}_{p} \\ \mathbb{Z}_{p} & \mathbb{Z}_{p} & \mathbb{Z}_{p} & \mathbb{Z}_{p} \\ p^{n}\mathbb{Z}_{p} & p^{n}\mathbb{Z}_{p} & p^{n}\mathbb{Z}_{p} & \mathbb{Z}_{p} \\ p^{n}\mathbb{Z}_{p} & p^{n}\mathbb{Z}_{p} & \mathbb{Z}_{p} & \mathbb{Z}_{p} \end{bmatrix} \cap \mathrm{GSp}_{4}(\mathbb{Z}_{p}), \\ \mathrm{Kl}'_{p}(n) &:= \begin{bmatrix} \mathbb{Z}_{p} & p^{n}\mathbb{Z}_{p} & \mathbb{Z}_{p} & \mathbb{Z}_{p} \\ \mathbb{Z}_{p} & \mathbb{Z}_{p} & \mathbb{Z}_{p} & \mathbb{Z}_{p} \\ p\mathbb{Z}_{p} & p^{n}\mathbb{Z}_{p} & \mathbb{Z}_{p} & \mathbb{Z}_{p} \\ p^{n}\mathbb{Z}_{p} & p^{n}\mathbb{Z}_{p} & p^{n}\mathbb{Z}_{p} & \mathbb{Z}_{p} \end{bmatrix} \cap \mathrm{GSp}_{4}(\mathbb{Z}_{p}) \end{split}$$

for an integer *n*. van Geemen and van Straten [7] conjectured that, up to the Euler factors at 2,

$$L(s, \Pi_{f_i}; \operatorname{spin}) = L(s, \chi_i \pi(\mu)) L(s, \chi_i \pi(\mu^3))$$

for  $4 \leq i \leq 6$ , where  $\chi_4 = \chi^{(-2)}$ ,  $\chi_5 = 1$ ,  $\chi_6 = \chi^{(2)}$ .

Corollary 3.9. The above conjecture is true.

**Proof.** It is possible to show the level of  $\pi(\mu)$  (resp.  $\chi^{(\pm 2)}\pi(\mu)$ ) is 2<sup>5</sup> (resp. 2<sup>6</sup>) (cf. Proposition 4.8 of [17]). From the above argument, the local  $\theta$ -lift  $\theta_2(\pi(\mu)'_2 \boxtimes \pi(\mu)'_2)$  (resp.  $\theta_2(\chi^{(\pm 2)}\pi(\mu)'_2 \boxtimes \chi^{(\pm 2)}\pi(\mu)'_2)$ ) has a local right  $Kl'_2(5)$  (resp.  $Kl'_2(6)$ )-invariant Q-degenerate Whittaker function. Now, noting that

$$\mathsf{Kl}_2'(6) \simeq \begin{bmatrix} \mathbb{Z}_2 & 2^7 \mathbb{Z}_2 & 2^5 \mathbb{Z}_2 & 2^4 \mathbb{Z}_2 \\ 2^{-1} \mathbb{Z}_2 & \mathbb{Z}_2 & 2^4 \mathbb{Z}_2 & 2^3 \mathbb{Z}_2 \\ 2^{-4} \mathbb{Z}_2 & 2^2 \mathbb{Z}_2 & \mathbb{Z}_2 & 2^{-1} \mathbb{Z}_2 \\ 2^2 \mathbb{Z}_2 & 2^3 \mathbb{Z}_2 & 2^7 \mathbb{Z}_2 & \mathbb{Z}_2 \end{bmatrix} \cap \mathsf{GSp}_4(\mathbb{Q}_2),$$

one can show that the local  $\theta$ -lift has a right  $\Gamma(4, 8)_2$ -invariant vector and verify the conjecture in the same manner as in 3.1.  $\Box$ 

Finally, we will prove the theorem. Put

$$f_5'(Z) := \frac{\theta_{(1,0,0,0)}(Z)\theta_{(1,1,0,0)}(Z)}{\theta_{(1,0,0,1)}(Z)\theta_{(0,0,0,0)}(Z)}$$

From  $f'_5$ , a character of  $\Gamma(2)$  is obtained through the Igusa transformation formula. Using Proposition 6.2 of [7], we check that this character coincide with  $\chi_{f_5}$ . For our computation, we put

$$f_5''(Z) = f_5'|_0\eta_2(Z) = c \frac{\theta_{(0,0,1,0)}(Z)\theta_{(0,0,1,1)}(Z)}{\theta_{(0,1,1,0)}(Z)\theta_{(0,0,0,0)}(Z)}$$

with  $c \neq 0$ . Let  $\chi_{f_5''}$  be the character of  $\Gamma(2)$  obtained from  $f_5''$ . Then  $\ker(\chi_{f_5}) \simeq \ker(\chi_{f_5''})$ . We can regard  $f_5''$  as the  $\theta$ -kernel  $\theta_2(\phi'')(g, 1)$  with  $\phi'' = \bigotimes_v \phi_v'' \in \mathcal{S}(M_2(\mathbb{A})^2)$ . In particular,  $\phi_2''(x_1, x_2)$  is in the form  $\phi_1''(x_1) \times \phi_0''(x_2)$  such that

- $\phi_1''(0) \neq 0$ .
- $\phi_0''(\varrho(h_1, h_2)x_2) = \phi_0''(x_2)$  if  $h_1, h_2 \in \tilde{\Gamma}_0^{(1)}(32)_{\mathbb{A}}$ .

For a positive integer  $\kappa$  and a congruence subgroup  $\Gamma_1 \subset GL_2(\mathbb{Q})$ , let  $S_{\kappa}^{(1)}(\Gamma_1)$  denote the space of elliptic cusp forms of weight  $\kappa$  with respect to  $\Gamma_1$ . Identifying this space with a subspace of automorphic forms on  $GL_2(\mathbb{A})$ , we define the subspace

$$S_{\kappa}^{(1)}(\Gamma_1)^{\otimes 2, \operatorname{dis}} = \left\{ (f_1, f_2) \in S_{\kappa}^{(1)}(\Gamma_1)^{\otimes 2} \mid \int_{Z(\mathbb{A})\operatorname{GL}_2(\mathbb{Q})\backslash\operatorname{GL}_2(\mathbb{A})} \overline{f}_1(g) f_2(g) \, \mathrm{d}g \neq 0 \right\}$$

of automorphic forms on  $GL_2(\mathbb{A})^{\otimes 2}$ . Composing Remark 2 and the proof of Theorem 2 of Oda [15], we can obtain the following lemma.

**Lemma 3.10.** Let  $\kappa$  be a positive integer. Let  $\Gamma_1$  be a congruence subgroup of  $GL_2(\mathbb{Q})$ . Suppose that a  $\varphi \in \bigotimes_{p < \infty} S(M_2(\mathbb{Q}_p))$  satisfies that  $\varphi(\varrho(h_1, h_2)x) = \varphi(x)$  for any  $h_1, h_2 \in \Gamma_{1,\mathbb{A}}$ . Then, there is a  $\varphi_{\infty} \in S(M_2(\mathbb{R}))$  such that  $\theta_1(\varphi_{\infty} \times \varphi, f) \neq 0$  for a certain  $f \in S_{\kappa}^{(1)}(\Gamma_1)^{\otimes 2, \text{dis}}$ .

Applying this lemma to the above  $\bigotimes_{p<\infty} \phi_{0,p}''$ , we find that there is  $\phi'''$  such that  $\phi_p''' = \phi_p''$  for all  $p < \infty$  and  $\theta_1(\phi''', f)$  is not trivial for a certain  $f \in S_2^{(1)}(\Gamma_0^{(1)}(32))^{\otimes 2, \text{dis}}$ . However,  $S_2^1(\Gamma_0^{(1)}(32))$  is 1-dimensional, generated by a newform  $f^{\text{new}}$  of  $\pi(\mu)$ . Thus

$$\theta_1(\phi^{\prime\prime\prime}, f^{\text{new}} \boxtimes f^{\text{new}}) \neq 0.$$

From the above argument,  $\Theta_2(\pi(\mu) \boxtimes \pi(\mu))$  has a right ker $(\chi_{f_5''})_{\mathbb{A}}$ -invariant vector. Thus  $\Theta_2(\pi(\mu) \boxtimes \pi(\mu^3))$  also has a right ker $(\chi_{f_5''})_{\mathbb{A}}$ -invariant vector, and Theorem 3.6 follows immediately.

#### 4. Hermitian modular forms

Let  $K = \mathbb{Q}(\sqrt{-d})$  be an imaginary quadratic field. For a Hermitian space W over K, let  $U_W(K)$  denote the unitary group acting on W and  $GU_W(K)$  the similitude one. In particular, we write

$$\mathrm{GU}_{n,n}(K) = \left\{ g \in \mathrm{GL}_{2n}(K) \mid g\eta_n^{\ t} \overline{g} = \nu(g)\eta_n, \ \nu(g) \in \mathbb{Q}^{\times} \right\}$$

and the 2*n*-dimensional split Hermitian space as  $W_{n,n}$ . Let  $B_{/\mathbb{Q}}$  be a definite quaternion algebra such that  $B_{\mathbb{Q}} \otimes K \simeq M_2(K)$ . We set the 6-dimensional positive quadratic space  $V = K + B_{\mathbb{Q}}$ . Then,  $PGSO_V(\mathbb{Q}) \simeq PGU_{W_B}(K)$  for a certain 4-dimensional Hermitian space  $W_B$  (cf. Section 11 of [12]). Let  $r_{U_{W_{n,n}\otimes W_B}}$  be the global Weil representation of  $U_{W_{n,n}\otimes W_B}(K_{\mathbb{A}})$  associated to the trivial character of  $\mathbb{A}^{\times}$  and the additive character  $\psi_K = \psi \circ \operatorname{Trace}_{K/\mathbb{Q}}$  (cf. [8,30]). We get the Weil representation  $r_{U,n}$  of  $\{(g,h) \in GU_{n,n} \times GU_{W_B} | v(g) = v(h)\}$  by restricting  $r_{U_{W_n,n}\otimes W_B}$ . For a  $\varphi \in \mathcal{S}(W_B(K_{\mathbb{A}})^n)$ , we define

$$\Theta_{U,n}(\varphi)(g,h) = \sum_{y \in W_{\mathcal{B}}(K)^n} r_{U,n}(g,h)\varphi(y).$$

ŧ

For an automorphic form f on  $GU_4(K_A)$ , define

$$\theta_{U,n}(\varphi,f)(g) = \int_{\mathsf{U}_{\mathsf{W}_{\mathsf{B}}}(K) \setminus \mathsf{U}_{\mathsf{W}_{\mathsf{B}}}(K_{\mathbb{A}})} \theta_{U,n}(\varphi)(g,hh_{1}) f(hh') \, \mathrm{d}h,$$

where h' is chosen so that v(g) = v(h') and dh is a right Haar measure on  $U_{W_B}(K) \setminus U_{W_B}(K_{\mathbb{A}})$ . Because  $W_B$  is positive definite, this integral converges absolutely, and  $\theta_{n,n}(\varphi, f)$  is an automorphic form on  $GU_{n,n}(K_{\mathbb{A}})$ . For an irreducible cuspidal automorphic representation  $\sigma$  of  $GU_4(K_{\mathbb{A}})$ , let  $\Theta_{U,n}(\sigma)$  denote the space spanned by  $\theta_{U,n}(\varphi, f)$  with  $f \in \sigma$  and  $\varphi \in \mathcal{S}(W_B(K_{\mathbb{A}})^n)$ . In the case n = 2, imitating the method in Section 4 of [27], it is possible to show that

$$\Theta_{U,2}(\sigma)_w \simeq \sigma_w,$$

if  $\sigma_w$ ,  $K_w/\mathbb{Q}_v$  and  $B_v$  are all unramified, where w is a place of K lying over a place v of  $\mathbb{Q}$ . We will identify irreducible cuspidal automorphic representations of  $PGSO_V(\mathbb{A})$  and those of  $PGU_{W_B}(K_{\mathbb{A}})$  via the isomorphism. Then, consider global  $\theta$ -lifts of  $\sigma$  to  $GSp_4(\mathbb{A})$ . Let  $\sigma'$  be an irreducible constituent of  $\sigma|_{SO_V}$ . Assume  $\Theta_2(\sigma) \neq 0$ . Let  $\Pi'$  be an irreducible constituent of  $\Theta_2(\sigma)$ . Using [14], we calculate

$$L_{S_{\sigma'}}(s,\sigma') = \zeta_{S_{\sigma'}}(s)L_{S_{\sigma'}}\left(s,\Pi',\left(\frac{-d}{*}\right);r_5\right),\tag{4.1}$$

where  $L_{S_{\sigma'}}(s, \sigma')$  is the standard Langlands *L*-function of  $\sigma'$  (of degree 6) and  $L_{S_{\sigma'}}(s, \Pi', \chi_K; r_5)$  is the  $(\frac{-d}{*})$ -twist of  $L_{S_{\sigma'}}(s, \Pi'; r_5)$  (note  $S_{\sigma'} = S_{\Pi'}$ ). Assume  $\Theta_{U,2}(\sigma) \neq 0$ . Let  $\tau'$  be an irreducible constituent of  $\Theta_{U,2}(\sigma)$ . Using the description of *L*-functions of unramified  $\tau'_w \in Irr(GU_2(K_w))$  in Section 3 of [11], we calculate

$$L_{S_{\sigma'}}(s,\tau';\wedge_t^2) = L_{S_{\sigma'}}(s,\sigma').$$

Now (1.2) is shown. We will show the existence of  $\tilde{F}$  of Theorem B.

**Proposition 4.1.** Let K,  $B_{/\mathbb{Q}}$ , V and  $W_B$  be as above. Let  $\sigma$  be an irreducible automorphic representation of  $PGSO_V(\mathbb{A}) \simeq PGU_{W_R}(\mathbb{A})$ . If  $\Theta_2(\sigma)$  is cuspidal and nontrivial, then  $\Theta_{U,2}(\sigma) \neq 0$ .

**Proof.** Since  $\Theta_2(\sigma) \neq 0$ , there is an automorphic form  $f \in \operatorname{Ind}_{GSO_V}^{GO_V} \sigma$  and  $\phi \in \mathcal{S}(V(\mathbb{A})^2)$  such that

$$F(g) := \int_{O_V(\mathbb{Q})\setminus O_V(\mathbb{A})} \theta_2(\phi)(g, hh_0) f(hh_0) \, \mathrm{d}h$$

is nontrivial, where  $h_0 \in GO_V(\mathbb{A})$  is chosen so that  $\nu(g) = \nu(h_0)$ . Since *V* is positive definite, *F* is a cusp form on  $GSp_4(\mathbb{A})$  is related to a (holomorphic) Siegel modular form. Since *F* is a cusp form,  $F_T(1) \neq 0$  for a positive  $T = {}^tT$ . Take  $x_1, x_2 \in V$  so that  $(x_1, x_2) = T$ . Let  $Z_{(x_1, x_2)}(\mathbb{Q}) \subset O_V(\mathbb{Q})$  be the pointwise stabilizer subgroup of  $(x_1, x_2)$ . Then,

$$F_T(1) = \int_{Z_{(x_1,x_2)}(\mathbb{Q})\setminus \mathsf{O}_V(\mathbb{A})} r^2(1,h)\phi(x_1,x_2)f(h)\,\mathrm{d}h$$

Hence,

$$\int_{Z_{(x_1,x_2)}(\mathbb{Q})\setminus Z_{(x_1,x_2)}(\mathbb{A})} f(zh) \, \mathrm{d} z \neq 0.$$

Because  $Z_{(x_1,x_2)}(\mathbb{Q}) \simeq O_4(\mathbb{Q})$ , there is a subgroup  $U_x(K) (\simeq U_2(K))$  of  $Z_{(x_1,x_2)}(\mathbb{Q})$  such that

$$\int_{\mathsf{U}_x(K)\setminus\mathsf{U}_x(K_\mathbb{A})} f(zh)\,\mathrm{d} z\neq 0.$$

Now then, we will consider  $\Theta_{U,2}(\sigma)$ . Let  $\langle *, * \rangle$  denote the Hermite form of  $W_B$ . Notice that  $U_x$  stabilizes a pair  $(y_1, y_2) \in W_B(K)^2$ . Put  $Y = \begin{bmatrix} \langle y_1, y_1 \rangle \langle y_1, y_2 \rangle \\ \langle y_2, y_1 \rangle \langle y_2, y_2 \rangle \end{bmatrix}$ , which is positive definite. Then, for a  $\varphi \in \mathcal{S}(W_B(K_A)^2)$ , the Fourier coefficient of  $\theta_{U,2}(\varphi, f)(g)$  at Y is

$$\int_{U_{x}(K)\setminus U_{W_{B}}(K_{\mathbb{A}})} r_{U,2}(g,h)\varphi(y_{1},y_{2})f(h) dh$$
  
= vol $\left(U_{x}(K)\setminus U_{x}(K_{\mathbb{A}})\right)^{-1} \int_{U_{x}(K_{\mathbb{A}})\setminus U_{W_{B}}(K_{\mathbb{A}})} r_{U,2}(g,h)\varphi(y_{1},y_{2})\left(\int_{U_{x}(K)\setminus U_{x}(K_{\mathbb{A}})} f(zh) dz\right) d\dot{h},$ 

where  $d\dot{h}$  indicates the Haar measure of  $U_x(K) \setminus U_x(K_{\mathbb{A}})$  associated to dh. Since the integral in the parenthesis is nontrivial, it is possible to choose  $\varphi$  so that this value does not vanish at g = 1 (cf. concluding remarks in [28]). Hence the assertion.  $\Box$ 

Finally, we will show the last assertion of the theorem, observing the *L*-function  $L_{S_{\tau}}(s, \tau; \wedge_t^2)$  for an irreducible, noncuspidal, automorphic representation  $\tau$  of  $GU_{2,2}(K_{\mathbb{A}})$ . Let  $K^1 = \{z \in K^{\times} | N_{K/\mathbb{Q}}(z) = 1\}$ . Let  $P_1(K) = N_1(K)M_1(K)$  with

$$N_{1}(K) = \left\{ \begin{bmatrix} 1 & v & w \\ 1 & \overline{w} & \\ & 1 & \\ & & 1 \end{bmatrix} \begin{bmatrix} 1 & u & \\ & 1 & \\ & & -u & 1 \end{bmatrix} \middle| v \in \mathbb{Q}, \ u, w \in K \right\},$$
$$M_{1}(K) = \left\{ \begin{bmatrix} tz & & & \\ & z^{c}\alpha & & z^{c}\beta \\ & & t^{-1}zv(g_{1}) & \\ & & z^{c}\gamma & & z^{c}\delta \end{bmatrix} \middle| g_{1} = \begin{bmatrix} \alpha & \beta \\ \gamma & \delta \end{bmatrix} \in \mathrm{GU}_{1,1}(K), \ z \in K^{1}, \ t \in \mathbb{Q}^{\times} \right\}.$$

The modular character  $\delta_{P_1}$  of  $P_1(K_{\mathbb{A}})$  is given by  $\delta_{P_1}(nm) = |\nu(g)|^{-4}|t|^6$ . We embed  $\operatorname{GU}_{1,1}(K) \times K^1 \times \mathbb{Q}^{\times}$  into  $M_1(K)$ , naturally. For a triple of irreducible automorphic representations  $\pi, \mu, \xi$  of  $\operatorname{GU}_{1,1}(K_{\mathbb{A}}) \times K_{\mathbb{A}}^1 \times \mathbb{A}^{\times}$ , let  $\pi \otimes \mu \otimes \xi$  denote the representation of  $P_1(K_{\mathbb{A}})$  sending  $nm = n(g_1, z, t)$  to  $\pi(g_1)\mu(z)\xi(t)$ . Hermitian modular forms of  $\operatorname{SU}_{2,2}(K)$  are related to automorphic forms on  $\operatorname{GU}_{2,2}(K_{\mathbb{A}})$  with a manner similar to that in Section 2.1. We will identify them. A Hermitian modular form is noncuspidal, if and only if

$$\Phi_U(F)(g,t,z;h) := \operatorname{vol}(N_1(k) \setminus N_1(\mathbb{A}))^{-1} \int_{N_1(K) \setminus N_1(K_{\mathbb{A}})} F(n(g_1,t,z)h) \, \mathrm{d}n$$

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is not a zero function of  $(g_1, t, z)$  at some  $h \in \text{GU}_{2,2}(K_{\mathbb{A}})$ , where  $\Phi_U$  is equal to the Siegel operator in [13], essentially. Hence, if a noncuspidal  $\tau$  is generated by a Hermitian modular form, then  $\tau$  is a constituent of an induced representation from  $\pi \otimes \mu \otimes \xi$ . In this case, there is an automorphic form  $f \in \tau$ , such that

$$\Phi_U(f)(nmh) = |\nu(g_1)|^{-2} |t|^3 \pi(g_1) \mu(z)\xi(t) \Phi_U(f)(h).$$

Further, if the central character of  $\pi_1$  is trivial, with regarding  $\pi_1$  as an irreducible automorphic representation of  $PGL_2(\mathbb{A}) \simeq SO_{2,1}(\mathbb{A}) \simeq PGU_{1,1}(K_{\mathbb{A}}))$ , we write

$$L_{S_{\tau}}(s,\tau;\wedge_{t}^{2}) = L_{S_{\tau}}\left(s - \frac{1}{2},\sigma_{1}\right)L_{S_{\tau}}\left(s - \frac{1}{2},\sigma_{1},\xi\right)L_{S_{\tau}}(s,\mu).$$
(4.2)

Now, apply the above argument to our case. Since every automorphic form of  $\Theta_{U,2}(\sigma)$  is related to a Hermitian modular form of weight 4, the weight of  $\xi$  is 4 - 3 = 1, if  $\Theta_{U,2}(\sigma)$  is noncuspidal. Since the central character of  $\sigma$  is trivial, so is that of  $\Theta_{U,1}(\sigma)$ . Then, obviously, (4.2) does not satisfy the Ramanujan conjecture. The last assertion of the theorem follows, immediately. This completes the proof.

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