

Instructions: Give brief, clear answers.

- I. Let  $f: X \rightarrow Y$  be a function between topological spaces. Prove that  $f$  is continuous if and only if for every  $x \in X$  and every open neighborhood  $V$  of  $f(x)$ , there exists an open neighborhood  $U$  of  $x$  such that  $f(U) \subseteq V$ .

Suppose that  $f$  is continuous. Let  $x \in X$  and let  $V$  be an open neighborhood of  $f(x)$ . Since  $f$  is continuous,  $f^{-1}(V)$  is an open neighborhood of  $x$ . Taking  $U = f^{-1}(V)$ , we have  $f(U) \subseteq V$ .

Conversely, suppose that for every  $x \in X$  and every open neighborhood  $V$  of  $f(x)$ , there exists an open neighborhood  $U$  of  $x$  such that  $f(U) \subseteq V$ . Let  $W$  be open in  $Y$ , and for every  $x \in f^{-1}(W)$ , choose an open neighborhood  $U_x$  with  $f(U_x) \subseteq W$ . Then  $f^{-1}(W) \subseteq \cup_{x \in f^{-1}(W)} U_x \subseteq f^{-1}(W)$ , so  $f^{-1}(W) = \cup_{x \in f^{-1}(W)} U_x$ . Since this is a union of open sets, it is open. Since  $W$  was an arbitrary open subset of  $Y$ , this proves that  $f$  is continuous.

- II. Let  $(X, d_X)$  and  $(Y, d_Y)$  be two metric spaces. A metric  $D: X \times Y \times X \times Y \rightarrow \mathbb{R}$  can be defined by  $D((x_1, y_1), (x_2, y_2)) = d_X(x_1, x_2) + d_Y(y_1, y_2)$  (you do not need to verify that  $D$  is a metric). Prove that the metric topology for  $D$  equals the product topology on  $X \times Y$  (Hint: First check that  $B_{d_X}(x, \epsilon/2) \times B_{d_Y}(y, \epsilon/2) \subseteq B_D((x, y), \epsilon) \subseteq B_{d_X}(x, \epsilon) \times B_{d_Y}(y, \epsilon)$ .)

First we note that if  $(x_1, y_1) \in B_{d_X}(x, \epsilon/2) \times B_{d_Y}(y, \epsilon/2)$ , then

$$D((x_1, y_1), (x, y)) = d_X(x_1, x) + d_Y(y_1, y) < \epsilon/2 + \epsilon/2 = \epsilon,$$

so  $(x_1, y_1) \in B_D((x, y))$ . Therefore  $B_{d_X}(x, \epsilon/2) \times B_{d_Y}(y, \epsilon/2) \subseteq B_D((x, y), \epsilon)$ . Also, if  $(x_2, y_2) \in B_D((x, y), \epsilon)$ , then

$$d_X(x_2, x) \leq d_X(x_2, x) + d_Y(y_2, y) = D((x_2, y_2), (x, y)) < \epsilon,$$

so  $x_2 \in B_{d_X}(x, \epsilon)$  and similarly  $y_2 \in B_{d_Y}(y, \epsilon)$ . This shows that  $B_D((x, y), \epsilon) \subseteq B_{d_X}(x, \epsilon) \times B_{d_Y}(y, \epsilon)$ . Now, let  $\mathcal{B}$  be the set of  $\epsilon$ -balls for the  $D$ -metric. By definition it generates the  $D$ -metric topology. We will verify the conditions of the Basis Recognition Theorem in order to verify that  $\mathcal{B}$  it generates the product topology.

To show that the sets  $B_D((x, y), \epsilon)$  are open in the product topology, let  $(x_1, y_1) \in B_D((x, y), \epsilon)$ . Then there exists a  $\delta$  (in fact,  $\delta = \epsilon - D((x_1, y_1), (x, y))$ ) such that  $B_D((x_1, y_1), \delta) \subseteq B_D((x, y), \epsilon)$ . So we have  $B_{d_X}(x, \delta/2) \times B_{d_Y}(y, \delta/2) \subseteq B_D((x_1, y_1), \delta) \subseteq B_D((x, y), \epsilon)$ . Therefore the sets  $B_D((x, y), \epsilon)$  are open in the product topology. For the second condition, let an set  $U$  open in the product topology and a point  $(x, y) \in U$  be given. There exists a basic open set  $B_{d_X}(x, \epsilon_1) \times B_{d_Y}(y, \epsilon_2)$  contained in  $U$ . Let  $\epsilon$  be the minimum of  $\epsilon_1$  and  $\epsilon_2$ . Then we have  $(x, y) \in B_D((x, y), \epsilon) \subseteq B_{d_X}(x, \epsilon) \times B_{d_Y}(y, \epsilon) \subseteq U$ .

- III. Let  $X = \prod_{\alpha \in \mathcal{A}} X_\alpha$  be product of nonempty spaces, and suppose that  $f: Y \rightarrow X$  is a function from a space  $Y$  into  $X$ . Prove that if  $\pi_\alpha \circ f$  is continuous for every  $\alpha$ , then  $f$  is continuous.

Let  $\pi_{\alpha_1}^{-1}(U_{\alpha_1}) \cap \dots \cap \pi_{\alpha_n}^{-1}(U_{\alpha_n})$  be a basic open set in  $\prod_{\alpha \in \mathcal{A}} X_\alpha$ . We have

$$\begin{aligned} f^{-1}(\pi_{\alpha_1}^{-1}(U_{\alpha_1}) \cap \dots \cap \pi_{\alpha_n}^{-1}(U_{\alpha_n})) &= f^{-1}(\pi_{\alpha_1}^{-1}(U_{\alpha_1})) \cap \dots \cap f^{-1}(\pi_{\alpha_n}^{-1}(U_{\alpha_n})) \\ &= (\pi_{\alpha_1} \circ f)^{-1}(U_{\alpha_1}) \cap \dots \cap (\pi_{\alpha_n} \circ f)^{-1}(U_{\alpha_n}). \end{aligned}$$

Since each  $\pi_{\alpha_i} \circ f$  is continuous, each  $(\pi_{\alpha_i} \circ f)^{-1}(U_{\alpha_i})$  is open, so  $(\pi_{\alpha_1} \circ f)^{-1}(U_{\alpha_1}) \cap \dots \cap (\pi_{\alpha_n} \circ f)^{-1}(U_{\alpha_n})$  is open.

**IV.** Let  $X$  be the real numbers with the cofinite topology.

(10)

1. Prove that the integers are a dense subset of  $X$ .

Let  $U$  be a nonempty open subset of  $X$ . Then  $U = \mathbb{R} - \{r_1, \dots, r_n\}$  for some finite subset  $\{r_1, \dots, r_n\}$  of  $\mathbb{R}$ . Since this set is finite, it does not contain all of  $\mathbb{Z}$ , so there exists  $n \in \mathbb{Z} \cap U$ . Therefore  $\mathbb{Z}$  is dense.

2. Prove that  $X$  is not second countable.

Let  $\{U_1, U_2, \dots\}$  be a countable collection of open subsets. We may delete any empty elements to assume that all are nonempty. Each nonempty  $U_i$  is of the form  $\mathbb{R} - F_i$  for some finite subset. The union  $\cup_{i=1}^{\infty} F_i$  is countable, so there exists  $r_0 \in \mathbb{R} - \cup_{i=1}^{\infty} F_i$ . The set  $U = \mathbb{R} - \{r_0\}$  is open, and does not contain any  $U_i$  since  $r_0 \in U_i$  for every  $U_i$ . So  $U$  is not a union of any subcollection of  $\{U_1, U_2, \dots\}$ , and consequently this collection is not a basis of  $X$ .

**V.** Let  $X = \prod_{i=1}^{\infty} \mathbb{R}$  be the product of countably many copies of the real line (where  $\mathbb{R}$  has the standard topology and the product has the product topology).

(10)

1. State the Tychonoff Theorem.

A product of compact spaces is compact.

2. Let  $A = \{a_n \mid n \in \mathbb{N}\}$  be a set of real numbers, and for each  $n \in \mathbb{N}$ , let  $x_n \in X$  be the point  $(a_n, \dots, a_n, 0, 0, \dots)$ , where the first  $n$  coordinates are  $a_n$  and all other coordinates are 0. Suppose that  $T: X \rightarrow \mathbb{R}$  is a continuous function. Prove that if  $A$  is a bounded subset of  $\mathbb{R}$ , then  $\{T(x_n) \mid n \in \mathbb{N}\}$  is a bounded subset of  $X$ . Hint: For some  $M$ ,  $A \subset [-M, M]$ .

Since  $A$  is a bounded subset of  $\mathbb{R}$ , there exists a number  $M$  so that  $A \subset [-M, M]$ . Since  $[-M, M]$  is compact, the Tychonoff Theorem shows that  $\prod_{i=1}^{\infty} [-M, M]$  is a compact subset of  $X$ . Therefore  $T(\prod_{i=1}^{\infty} [-M, M])$  is a bounded subset of  $\mathbb{R}$ . Since  $T(\prod_{i=1}^{\infty} [-M, M])$  contains every  $T(x_n)$ , this shows that  $\{T(x_n) \mid n \in \mathbb{N}\}$  is a bounded subset of  $\mathbb{R}$ .

**VI.** Let  $(X, d)$  be a metric space and let  $A$  and  $B$  be compact subsets of  $X$  with  $A \cap B = \emptyset$ . Prove that there exists  $\delta_0 > 0$  such that  $d(a, b) \geq \delta_0$  for all  $a \in A$  and all  $b \in B$  (you may assume that  $d: X \times X \rightarrow \mathbb{R}$  is continuous).

(10)

Consider  $d|_{A \times B}: A \times B \rightarrow \mathbb{R}$ . It is continuous since it is a restriction of  $d$ , and since  $A$  and  $B$  are compact,  $A \times B$  is also compact. Therefore  $d|_{A \times B}$  assumes a minimum value, that is, there is a pair  $(a_0, b_0) \in A \times B$  with  $d(a_0, b_0) \leq d(a, b)$  for all  $(a, b) \in A \times B$ . Since  $A \cap B = \emptyset$ ,  $a_0 \neq b_0$  and therefore  $d(a_0, b_0) > 0$ . This (or any smaller positive number) is the desired values of  $\delta_0$ .

**VII.** Say that a space  $X$  is *compactly connected* if for every  $x$  and  $y$  in  $X$ , there exists a compact connected subset of  $X$  that contains  $x$  and  $y$ . For each of the following statements, prove or give a counterexample.

- (20) 1. Every compactly connected space is connected.

Proof: Fix  $x_0 \in X$ . For each  $x \in X$ , choose a compact connected set  $A_x$  containing  $x_0$  and  $x$ . Since all  $A_x$  are connected, and their intersection is nonempty,  $X = \cup_{x \in X} A_x$  is connected.

2. Every path-connected space is compactly connected.

Proof: Given  $x$  and  $y$  in  $X$ , choose a path  $\alpha: I \rightarrow X$  from  $x$  to  $y$ . Since  $I$  is compact and connected, so is the image  $\alpha(I)$ . Since  $\alpha(I)$  contains  $x$  and  $y$ , this shows that  $X$  is compactly connected.

3. The image of a compactly connected space under a continuous map is compactly connected.

Proof: Let  $f: X \rightarrow Y$  be continuous, with  $X$  compactly connected, and choose  $y_1$  and  $y_2$  in  $f(X)$ . Choose  $x_i \in X$  with  $f(x_i) = y_i$ . Since  $X$  is compactly connected, there exists a compact connected set  $C \subseteq X$  with  $x_1, x_2 \in C$ . Then,  $f(C)$  is a compact, connected subset of  $Y$  containing  $y_1$  and  $y_2$ .

4. Every product of compactly connected spaces is compactly connected (you may take as known the fact that an arbitrary product of connected spaces is connected).

Proof: Let  $\prod X_\alpha$  be a product of compactly connected spaces, and let  $(x_\alpha), (y_\alpha) \in \prod X_\alpha$ . For each  $\alpha$ , choose a compact, connected subset  $C_\alpha \subseteq X_\alpha$  containing  $x_\alpha$  and  $y_\alpha$ . Then,  $\prod C_\alpha$  is connected, and is compact by the Tychonoff Theorem, so is a compact, connected subset of  $\prod X_\alpha$  containing  $(x_\alpha)$  and  $(y_\alpha)$ .

**VIII.** Recall that a map is called *open* if it takes open sets to open sets.

(10)

1. Prove that a continuous, surjective, open map must be a quotient map.

Let  $f: X \rightarrow Y$  be continuous, surjective, and open. Let  $U$  be an open subset of  $Y$ . We must show that  $U$  is open in  $Y$  if and only if  $f^{-1}(U)$  is open in  $X$ .

If  $U$  is open in  $Y$ , then  $f^{-1}(U)$  is open in  $X$  since  $f$  is continuous. Suppose that  $f^{-1}(U)$  is open in  $X$ . Since  $f$  is an open map,  $f(f^{-1}(U))$  is open in  $Y$ , and since  $f$  is surjective,  $f(f^{-1}(U)) = U$ .

2. Give an example of a quotient map that is not an open map (you need not verify that the map is a quotient map).

Define  $q: [0, 1] \rightarrow S^1$  by  $q(t) = e^{2\pi it}$ . This is a quotient map, but the image of the open set  $[0, 1/2)$  is not open in  $S^1$ .

**IX.** State the universal property of quotient maps.

(5)

Let  $p: X \rightarrow Y$  be a quotient map, and let  $f: Y \rightarrow Z$  be a function. The universal property says that  $f$  is continuous if and only if  $f \circ p$  is continuous.

- X.** Suppose that  $\{X_\alpha \mid \alpha \in \mathcal{A}\}$  is an indexed collection of sets, infinitely many of which are noncompact.
- (10) Prove that  $\prod_{\alpha \in \mathcal{A}} X_\alpha$  is not locally compact. (Hint: prove that if a subset  $C$  contains a basis element, then there is a continuous surjection from  $C$  onto a noncompact factor, and from this, deduce that  $\prod_{\alpha \in \mathcal{A}} X_\alpha$  is not locally compact.)

Suppose that  $\{X_\alpha \mid \alpha \in \mathcal{A}\}$  is locally compact, and choose a point  $(x_\alpha)$  in  $\{X_\alpha \mid \alpha \in \mathcal{A}\}$ . Then there is a compact subset  $C$  containing an open neighborhood  $\pi_{\alpha_1}^{-1}(U_{\alpha_1}) \cap \cdots \cap \pi_{\alpha_n}^{-1}(U_{\alpha_n})$  of  $(x_\alpha)$ . Since there are infinitely many noncompact factors, we may choose an index  $\beta$  such that  $X_\beta$  is noncompact and  $\beta$  is not equal to one of the  $\alpha_i$ . The projection map  $\pi_\beta: C \rightarrow X_\beta$  is surjective, since for any  $y \in X_\beta$ , the point  $(y_\alpha)$  with all  $y_\alpha = x_\alpha$  except that  $y_\beta = y$  lies in  $\pi_{\alpha_1}^{-1}(U_{\alpha_1}) \cap \cdots \cap \pi_{\alpha_n}^{-1}(U_{\alpha_n})$ , and hence in  $C$ , and  $\pi_\beta((y_\alpha)) = y_\beta = y$ . But this is impossible, as  $C$  is compact and  $X_\beta$  is noncompact.

- XI.** Let  $A$  be a subset of  $\mathbb{R}^5$ , which has no limit point in  $\mathbb{R}^5$ , and let  $\sigma: A \rightarrow \mathbb{R}$  be any function. Prove that
- (10) there exists a continuous map  $f: \mathbb{R}^5 \rightarrow \mathbb{R}$  with  $f|_A = \sigma$ .

We know that  $\mathbb{R}^5$  is normal (since it is metrizable). Now  $A$  is closed, since it contains all of its limit points. Also, it has the discrete topology since for every  $a \in A$ , there exists a neighborhood  $U$  of  $a$  with  $U \cap A = \{a\}$  (otherwise  $a$  would be a limit point of  $A$ ). Since  $A$  has the discrete topology,  $\sigma$  is continuous, so the Tietze Extension Theorem implies that there is an extension of  $\sigma$  to a continuous map  $f: \mathbb{R}^5 \rightarrow \mathbb{R}$ .

- XII.** For each of the following, prove or give a counterexample.

- (15)
1. If  $f: X \rightarrow Y$  is a continuous surjective map between compact Hausdorff spaces, then  $f$  is a quotient map.

Proof: Let  $C$  be a subset of  $Y$ . If  $C$  is closed, then  $f^{-1}(C)$  is closed in  $X$  since  $f$  is continuous. If  $f^{-1}(C)$  is closed in  $X$ , then it is compact, so  $C = f(f^{-1}(C))$  is closed in  $Y$ .

2. If a manifold  $M$  has nonempty boundary, then  $M$  is compact.

Counterexample: The upper half space  $\mathbb{H}$  is a manifold with nonempty boundary, but it is not compact.

3. Let  $f: X \rightarrow Y$  be continuous and injective. If  $f(x_n) \rightarrow f(x)$  in  $Y$ , then  $x_n \rightarrow x$  in  $X$ .

Counterexample: Let  $f: [0, 1) \rightarrow S^1$  be  $f(t) = e^{2\pi it}$ . For the sequence  $x_n = 1 - \frac{1}{n}$ ,  $f(x_n)$  converges to  $(1, 0) = f(0)$ , but  $x_n$  does not converge to 0 in  $[0, 1)$ .